

EXPERIMENTAL OBSERVATION OF NEOCLASSICAL CURRENTS

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Under the supervision of Associate Professor Stewart C. Prager

by

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The parallel and perpendicular equilibrium currents have been experimentally studied in the Levitated Octupole, with toroidal field. Observations of the spatial structure, collisionality and toroidal field dependence of the complete current and the ion portion of the current are presented. Experimentally measured currents are compared to neoclassical current distributions calculated for the actual machine geometry. Measurements of the total plasma parallel current for absolute-MHD-stable flux surfaces (inside the separatrix) agree well with theory, showing the bootstrap and Pfirsch-Schluter currents as predicted by neoclassical transport theory. On average-MHD-stable flux surfaces (outside the separatrix) there is more parallel current than predicted by theory, though the spatial structure is correct. Separate measurements of the ion parallel and perpendicular currents agree with theory, showing the lack of measurable ion poloidal rotation in all situations.

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## Table of Contents

Abstract	ii	Viscosity Coefficients	47
Acknowledgements	iii	Fluid Regime	59
Table of Contents	v	Plateau Regime	61
1. Introduction	1	Banana Regime	62
2. Experimental Apparatus	5	Viscosity Coefficient Smoothing	65
Machine Description	5	Calculation of Currents	67
General Plasma Diagnostics	7	Calculation of Octupole Currents	70
Plasma Density	7	4. Experimental Results	97
Electron Temperature	8	Complete Current Measurements	97
Ion Temperature	9	Ion Current Measurements	101
Plasma Current Diagnostics	9	5. Conclusion and Suggestions for Further Work	129
Ion Current	10	Bibliography	131
Total Current	11		
Plasma Parameters	16		
3. Theory of Neoclassical Currents	43		
Equilibrium Current Structure	43		
Simplified Calculation of Neoclassical Currents	45		
Detailed Calculation of Neoclassical Currents	47		
Friction Coefficients	55		

## Chapter 1

## Introduction

Neoclassical plasma transport theory<sup>1,2</sup> considers the flux of particles, energy, and electric charge (current) due to the interaction of Coulomb collisions, single particle drift across magnetic flux surfaces, and gradients of temperature or density. Neoclassical transport is to be distinguished from classical transport<sup>3</sup> which is due to the interaction of Coulomb collisions and the single particle gyration about the magnetic field in the presence of temperature and density gradients. Neoclassical transport theory predicts a full set of relations between certain thermodynamic forces and fluxes

$$\begin{bmatrix} \Gamma \\ Q \\ J \end{bmatrix} = \begin{bmatrix} L_{11} & L_{12} & L_{13} \\ L_{21} & L_{22} & L_{23} \\ L_{31} & L_{32} & L_{33} \end{bmatrix} \begin{bmatrix} \nabla P \\ \nabla T \\ E_{\parallel} \end{bmatrix}$$

where  $\Gamma$  and  $Q_{\parallel}$  are the flux of particles and heat across the flux surface,  $J_{\parallel}$  is the current (flux of charge) parallel to the magnetic field,  $\nabla_{\perp} P$  and  $\nabla_{\perp} T$  are the cross-flux-surface gradients of kinetic pressure and temperature, and  $E_{\parallel}$  is the electric field component parallel to the magnetic field. The diagonal transport coefficients,  $L_{jj}$ , relate similar forces and fluxes, and are the diffusion and heat conduction coefficients and the electrical conductivity. The off diagonal elements couple dissimilar forces and

fluxes, and by Onsager's Theorem are inter-related by various symmetry relations. The coefficients of particular interest in this thesis are  $L_{31}$  and  $L_{32}$ . These coefficients imply<sup>4,5</sup> that current should flow parallel to the magnetic field in a toroidal confinement system with helical magnetic fields, such as tokamaks, stellarators, and multipoles; and predicts related currents for non-axisymmetric tandem mirrors.<sup>6</sup> This parallel current is driven by a perpendicular pressure or temperature gradient, and consists of two superimposed parts: The Pfirsch-Schlüter current<sup>7</sup> maintains charge neutrality in the presence of the diamagnetic current, is independent of particle collision frequency, and has varying sign along a magnetic field line, but averages to zero. The bootstrap current<sup>4,5</sup> is generated by the balancing of electron-ion friction with the viscous stress between trapped and untrapped particles, increases with decreasing collision frequency, and is unidirectional along a magnetic field line. The fluid forces that act to determine these currents (fluid frictions and viscous forces) also determine the other flows. In a similar (kinetic) sense, all the neoclassical transport relations are determined by the same perturbed distribution function, thus these currents are an intrinsic part of neoclassical transport theory.

The practical potential of the bootstrap current for generating a steady-state tokamak and driving instabilities was quickly recognized.<sup>5</sup> Indeed, in an ignited tokamak reactor these currents would provide all the current necessary for the confining poloidal magnetic field, without

any additional Ohmic current. In addition, the  $L_{73}$  coefficient generates a cross-flux-surface flux of particle due to an applied  $E_{||}$  (the Ware pinch<sup>8</sup>). This pinch effect is often invoked in tokamak design studies to aid refueling of the central plasma. However, by Onsager symmetry the Ware pinch should only be expected in the presence of the neoclassical currents.

Previous attempts to experimentally identify either the Pfirsch-Schlüter or bootstrap currents in stellarators<sup>9, 10, 11</sup> and tokamaks<sup>12</sup> have been unsuccessful or inconclusive.

This thesis reports the first observation of these currents in a study performed on the Wisconsin Levitated Octupole. Careful measurements of the spatial structure, dependence on toroidal magnetic field strength (or field line pitch), and collisionality dependence of both the complete plasma current (electrons and ions) and the ion portion of the current are in good agreement with theory for most of the flux surfaces (the inner 3/4 of the stable flux). Current on flux surfaces that are near the outside of the plasma is found not to be in good agreement with theory, in that the parallel current is larger than expected. This work is an outgrowth of previous studies<sup>13, 14</sup> that studied high- $\beta$  ( $\beta \equiv$  plasma pressure/magnetic field pressure) plasmas in the same device.

The Octupole, and multipoles in general, are almost ideally suited for the study of equilibrium currents since: (1) The neoclassical current is not obscured by ohmic currents, as the magnetic field is dominantly established by currents in the boundary conductors. (2) Probes can be used

to measure local plasma parameters and currents, as there are no runaway electrons from an ohmic current. (3) Using Marshall gun injected plasmas, high pressure (and thus high pressure gradient) plasmas are easily generated.<sup>14</sup> (4) Plasma parameters and magnetic field strength and transform can be varied independently. (5) The parallel gradient scale length of the magnetic field is relatively short ( $\sim 0.6$  m) allowing access to the various collisionality regimes at moderate plasma parameters. (6) Neoclassical transport theory, as formulated for tokamaks, provides a prediction of the equilibrium currents for multipoles, and thus can be tested by this experiment.

This thesis consists of four parts: Chapter 2 describes the experimental device, plasma diagnostics including the diagnostics used to measure the currents, and experimentally measured parameters of the plasmas used in the study. Chapter 3 presents a physical explanation and summarizes the theory of neoclassical currents, using the recent moment equation approach.<sup>15</sup> It also presents calculations of the characteristics of the neoclassical currents for the actual Octupole geometry. Chapter 4 presents the experimental neoclassical current measurements, and compares them to the theoretical predictions. Measurements of both total and ion currents on several flux surfaces are discussed. Chapter 5 summarizes the work, and considers future research directions.

## Chapter 2 Experimental Apparatus

In this chapter the experimental apparatus, diagnostics and method are discussed. Emphasis is placed on those aspects that are novel or peculiar to this work.

### 2.1. Machine Description

All of the experiments described here were conducted on the Wisconsin Levitated Octupole<sup>16</sup> Fig. 2-1. This device has been extensively described in the literature<sup>17,18,19,20</sup> so only a brief description is included here.

The Octupole is a toroidal aluminum vacuum vessel of approximately square cross section, containing four aluminum hoops. Physical dimensions, and other parameters are listed in Table 2-1. The vessel has poloidal and toroidal electrical breaks for the introduction of poloidal and toroidal magnetic fields. The toroidal magnetic field is generated by directly driving currents in the vacuum vessel. The poloidal magnetic field is generated by exciting an iron core (threading the toroid) which inductively drives boundary currents in the four hoops and in the vacuum vessel. Continuity windings connect opposite sides of the poloidal break providing, for the vessel boundary currents, an electrically short path

**Table 2-1**  
*Octupole Parameters*

Major radius	1.4 m
Minor cross section	1.12 m x 1.2 m
Hoop minor-radius	8.89 cm
Vacuum volume	8.6 m <sup>3</sup>
Stable plasma volume	7.7 m <sup>3</sup>
Base pressure	5 x 10 <sup>-9</sup> torr
Half-sine magnetic field period	43 msec
Crowbarred decay time	70 msec
Peak poloidal field core flux	0.72 Wb
Total poloidal field energy	0.6 MJ
Maximum toroidal field (at axis)	~600 G

around the break, and allowing control of the distribution of the boundary currents at the break. Ideally, these continuity windings are arranged to ensure that the boundary current distribution and poloidal magnetic field are axisymmetric within the vessel.

The calculated<sup>21,22</sup> resulting poloidal magnetic flux plot is shown in Fig. 2-2. All flux surfaces are referred to by a number between 0 and 10, giving the amount of poloidal flux contained in the surface in units of 1/10<sup>16</sup> of the peak (during the pulse) machine flux. Thus, the flux

surface labeled 0 is in the hoops, and the flux surface labeled 10 is in the vessel wall at peak field. The flux surfaces are divided into three regions: Flux surfaces within the separatrix,  $\psi_{sep} \approx 5.7$ , are entirely of good curvature, and are absolute-MHD-stable. Flux surfaces between the separatrix and  $\psi_{04} \approx 8.2$  are average-MHD-stable, containing regions of good and bad curvature. Flux surfaces outside  $\psi_{04}$  have average bad curvature, and are MHD-unstable. All flux surfaces studied in this work are either absolute- or average-MHD-stable.

## 2.2. General Plasma Diagnostics

### 2.2.1. Plasma Density - $n_e$

The principal diagnostic for plasma particle density is a vertical path multiradian fringe-shift 70 GHz microwave interferometer<sup>23</sup> located on the Octupole's midcylinder. Properly this measures  $\int n_e dl$ . Since the volume sampled is dominated by the separatrix region of flux space, the interferometer is taken as a measure of the separatrix (peak) density. This is only slightly affected by changes in density profile.

The (ion) density profile is measured using Langmuir floating double probes.<sup>24</sup> The probes used in these studies consisted of 1 mm-dia. platinum spheres protruding from a ceramic stalk. The spheres were  $\sim 3$  mm apart, and were typically biased apart by 100 V. The measured saturation current is predicted to vary as

$$I_s \propto n_e T^{1/2}, \quad (2-1)$$

where  $T$  is the greater of  $T_i$  and  $T_e$ . In order to determine the density profile from saturation current measurements, the temperature must be separately determined (see below). For these experiments,  $T_i \approx T_e$  as measured, consequently  $T_e$  has typically been used to unfold probe density measurements. The proportionality factor in Eq. 2-1 is obtained by normalizing the density profile (from the probe) to the interferometer density.

### 2.2.2. Electron Temperature - $T_e$

The electron temperature is measured using, almost exclusively, the admittance probe technique of J.C. Sprott.<sup>25</sup> For this diagnostic, a third tip was built into the floating double Langmuir probe, identical to the other two. This tip is connected to a 400 kHz capacitive bridge, and used to measure the plasma-tip sheath impedance,  $R_s$ . The electron temperature (in eV) is then given, from probe theory, as

$$T_e = e I_s R_s.$$

The admittance signal from the capacitive bridge is demodulated using the active full-wave rectifier in Fig. 2-3, and the resulting signal and the saturation current (from the floating double probe) are recorded to give  $T_e$  versus time at the probe position for each shot.

In addition, principally during the high- $\beta$  studies<sup>13,14</sup> the electron temperature was also determined by measuring the slope of a double probe I-V characteristic at the floating potential.<sup>24</sup> The temperature determined in the two ways agreed to within 10% independent of plasma

parameters.

### 2.2.3. Ion Temperature - $T_i$

The ion temperature is measured using a 1/4-inch-diameter gridded electrostatic analyzer probe.<sup>26</sup> The analyzer consists of three gold grids and a collector, each separated by 127  $\mu$ m mica washers, assembled in a technique developed by P. Nonn.<sup>27</sup> The first grid is allowed to float, and the other grids are biased relative to it. This grid prevents the penetration of the discrimination potentials into the plasma, eliminating particle acceleration problems encountered with "skimmer" probes.<sup>28</sup> The second grid is biased to repel ions, and the bias is varied (either shot to shot, or in a fast sweep) to accept different (upper) portions of the ion distribution function. The collector is biased to collect all the ions passing the second grid, while the third grid serves as a secondary electron suppressor. The ion temperature (for singly charged ions) is given by

$$T_i = - \left[ \frac{d \ln I_c}{d V_2} \right]^{-1}$$

where  $V_2$  is the discrimination bias voltage on the second grid and  $I_c$  is the collector current.

### 2.3. Plasma Current Diagnostics

Separate methods are used to measure the ion current density and the total current density (ions and electrons). However, in each technique, the poloidal and toroidal current densities are measured on

separate shots. In order to compare the currents to theory, as done in chapter 4, both  $j_\rho$  and  $j_T$  are required (they are resolved into  $j_{\perp}$  and  $j_{\parallel}$ ). This is done by picking pairs of shots (each measuring a different current component) that are matched in their plasma kinetic parameters ( $T_e$  and  $n_e$ ), and the time decay of those parameters. The plasma parameters are matched for the separatrix, and the flux surface where the currents are measured (if different than the separatrix). For studies involving current measurements in different locations (e.g. to measure poloidal variation) or different field configurations (e.g.  $B_T$  scans), the pairs of matched shots are further matched to give an overall compatible set.

#### 2.3.1. Ion Current - $I_i$

The local ion current density is measured using a "paddle probe"<sup>29,10</sup> This probe consists of two parallel flat disks separated by a thin insulator. Each disk is biased to collect ion saturation current as a single Langmuir probe. The difference in the collected currents is equal to the directed ion current flowing perpendicular to the disks.<sup>29</sup> For these experiments, the disks are platinum of diameter 3.2 mm, the insulator is alumina and Sauerreisen<sup>30</sup> cement No. 29, providing a separation of 1 mm. Great care must be taken during assembly to ensure that the disks are parallel. Typical disk bias voltage is -100 V. The currents collected by the tips are measured as voltages across matched 5  $\Omega$  resistors. The difference current is measured using an AM502 differential amplifier.



It was originally expected that this probe should be capable of measuring the directed electron current, by biasing the disks to collect electron saturation current. However, an apparent probe-sheath instability occurred for bias potentials above the plasma potential, making operation of the probe difficult. Attempts to operate the probes in electron saturation also appeared to cause permanent damage to the surface of the platinum disks.

### 2.3.2. Total Current - J

A method of measuring the total current density, using multi-coil magnetic probes and active integrators, was developed in the course of these studies. This method will be described in some detail.

#### 2.3.2.1. Experimental Method

For an axisymmetric toroidal system expressions for the current density may be obtained from the components of  $\vec{\mu} = \nabla \times \vec{B}$ , giving

$$\mu_{\psi P} = \nabla_{\psi} B_T - \hat{\chi} \cdot \left[ \vec{B}_T \times \frac{\vec{R}}{R} \right], \quad (2-2)$$

$$\mu_{\psi T} = -(\nabla_{\psi} B_P + \kappa_P B_P), \quad (2-3)$$

where the P and T subscripts denote poloidal and toroidal components respectively,  $\nabla_{\psi} = \hat{\psi} \cdot \nabla$ ,  $\hat{\psi}$  is the unit normal to the magnetic flux surface,  $\hat{\chi}$  is the unit vector in the poloidal direction, R is the major radius, and  $\kappa_P$  is the local curvature of the poloidal field. The sign of  $\kappa_P$  is taken as positive if the poloidal field is curving towards the magnetic axis (as in a tokamak), and negative otherwise. Eq. (2-2) can be simplified in the

particular cases where the flux surface is locally horizontal:

$$\mu_{\psi P} = \nabla_{\psi} B_T, \quad (2-4)$$

or vertical:

$$\mu_{\psi P} = \nabla_{\psi} B_T \pm \frac{B_T}{R}, \quad (2-5)$$

where the positive sign applies to the outside of the torus, and the negative to the inside.

In the case where the curvature of a component of the field is not significantly perturbed by the plasma (i.e.  $B_T$  in all devices,  $B_P$  in multipoles) increased sensitivity to the *conyugate* current may be obtained by measuring the plasma perturbation to the above equations, giving

$$\begin{aligned} \mu_{\psi T} &= -\delta(\nabla_{\psi} B_P) - \kappa_P \delta B_P - B_P \delta \kappa_P, \\ &\approx -\delta(\nabla_{\psi} B_P) - \kappa_P \delta B_P, \end{aligned} \quad (2-3')$$

$$\mu_{\psi P} = \delta(\nabla_{\psi} B_T), \quad (2-4')$$

$$\mu_{\psi P} = \delta(\nabla_{\psi} B_T) \pm \frac{\delta B_T}{R}, \quad (2-5')$$

where  $\delta$  indicates the difference between the quantity measured with plasma and without.

In the present experiment,  $\partial_t B$  and  $\partial_t \nabla_{\psi} B$  are measured using two parallel magnetic loops, displaced in the  $\hat{\psi}$  direction. The difference between the loops' signals (divided by their separation distance) is taken as the approximate measure of the field gradient. A set of active integrators, gated and non-gated as described below, integrates each signal producing outputs proportional to  $B$  and  $\nabla_{\psi} B$  during each discharge. The non-gated integrators measure the total  $B$  and  $\nabla_{\psi} B$  during the entire

machine pulse. The gated integrators are enabled either just before or after plasma injection, near peak field, and thus do not measure most of the background magnetic field strength, and have higher gain than the non-gated integrators.  $\delta B$  and  $\delta \nabla_{\parallel} B$  are obtained by (computer) subtraction of the gated integrator outputs for a non-plasma shot from the outputs for a plasma discharge.  $\kappa_P$  may be determined from Eq. (2-3) using the  $B$  and  $\nabla_{\parallel} B$  outputs on non-plasma shots ( $J_T \neq 0$ ), with the probe oriented to measure poloidal field. The current density component is obtained from Eqs. (2-3'), (2-4'), or (2-5') depending on the orientation and location of the probe.

### 2.3.2.2. Apparatus: Field Probes

The magnetic probes used in these experiments contain two or more parallel coils (Fig. 2-4). The coil separations used to date are 0.6 cm and 1.0 cm. The two components of the current,  $J_T$  and  $J_P$ , are obtained on separate shots with the probe aligned to measure  $B_P$  and  $B_T$  respectively. The coils are wound of ~110 turns of #42 copper magnet wire, on a machined G10 coil form, with a coil cross section of 2.5 mm x 5.0 mm. The coil assembly is electrostatically shielded by encasing it with a layer of 13  $\mu$ m-thick aluminum foil, and inserting into a 5/32 in.-I.D., 0.13 mm-wall, 4 cm long stainless steel sleeve which has been soldered onto a thick-wall 1.1 m long, 5/32 in.-O.D. stainless steel tube. The stainless tube, with coils, is then inserted into a 1/4 in.-O.D. pyrex or quartz tube which electrically insulates the shield from the plasma, and is closed at

the end to provide the vacuum seal.

The probe is coupled to the integrators using a shielded multi-twisted-pair cable. The cable shield is connected to the probe electrostatic shield, and is grounded in the integrator. For maximum sensitivity, it is necessary to avoid connectors (e.g. BNC or LEMO) in coupling the coils to the integrators, as they introduce significant noise. In this experiment solder lugs and screw type connector blocks were used, mounted inside the integrator's shield box.

### 2.3.2.3. Apparatus: Active Integrators

The active circuitry consists of front-end amplifiers and the integrating amplifiers. The differential and single-ended front-end amplifiers, for measurements of  $\nabla_{\parallel} B$  and  $B$  respectively, are shown in Fig. 2-5. The front-end amplifiers are required by the need for a high input impedance (as seen by the probe) for good frequency response, and a differential input (for the gradient measurement). The OP-37 operational amplifier<sup>31</sup> was chosen for its relatively high bandwidth, low offset and drift, low noise, and especially its low noise corner (2.7 Hz). The front-end amplifiers are configured to be flat to ~1.7 MHz, and the differential amplifier has 80 dB CMRR at 100 KHz. The gain of the front-end amplifiers is maximized, while not impairing bandwidth or saturating, to minimize the effect of the low frequency input noise of the integrating amplifiers.

The schematic for the gated and non-gated integrating amplifiers is shown in Fig. 2-6. The input capacitive coupling eliminates any output offset of the front-end amplifiers, but has a time constant ( $\approx 1$  sec) long enough to pass signals of experimental interest. It is still necessary to use low output offset front-end amplifiers, to minimize the leakage current through the coupling capacitors. The ICL7650 chopper-stabilized operational amplifier<sup>32</sup> was chosen for the non-gated integrating amplifiers because of its extremely low input offset (1.0  $\mu$ V), low drift, and low bias current ( $\approx 0.1$  pA). Note that for the gated integrator, the internal chopping clock ( $\approx 200$  Hz) is disabled during the integration period to limit generation of switching noise. However, the noise corner of the ICL7650 ( $\approx 1.5$  KHz) will limit the sensitivity of high gain integrators. The variable gain of the gated integrator is generated in the feedback loop of the integrating amplifier, avoiding the gain dependent high-frequency rolloff that would be generated in a variable gain output amplifier. The switchable output offsets ( $\pm 4V, 0V$ ) allow better utilization of the input range of our digitizers ( $\pm 5V$ ) for unipolar signals. The fixed gain of  $\approx 2$  in the offset amplifiers maps the saturated range of the ICL7650 ( $\pm 4.7V$ ) onto the potential  $\pm 9V$  range needed for unipolar signals.

All active circuitry was mounted in a grounded conducting box, to shield out stray fields. Incoming AC power was isolated and filtered. To avoid picking up stray 60 Hz and 180 Hz fields in the active circuits it is necessary to mount all power transformers away from the circuitry and

outside the shield box, in their own shield.

#### 2.3.2.4. Performance

With the described apparatus, we are able to measure the plasma perturbation to the magnetic field with a resolution of  $\approx 6$  mG/cm, corresponding to a current density resolution of  $\approx 5$  mA/cm<sup>2</sup>, in a field of 1 kG with a vacuum gradient of  $\approx 70$  G/cm. An example experimental diamagnetic current profile is shown in Fig. 2-7 with the expected profile computed from the experimental pressure profile measured by double Langmuir probes.

#### 2.4. Plasma Parameters

The "intermediate" Marshall gun<sup>33</sup> was used to generate all of the plasma used in these studies. The gun's energy storage bank consists of 60  $\mu$ F at 20 kV, and is switched by a spark gap through about 30 nH to drive the gun. Typical plasma parameters are summarized in Table 2-2. This plasma was obtained with the gun's capacitor bank charged to 14 kV, the gas plenum filled with H<sub>2</sub> to 50 psig, and a 400  $\mu$ sec valve-to-gun time delay. It was chosen to have a reasonably high  $\beta$ , 2%, (to make the currents large enough to measure) while not causing large distortions in the flux surface positions (for ease of analysis). In addition, this plasma was chosen to satisfy the assumptions of neoclassical theory (small gyroradii), and have diamagnetic current which agrees in magnitude and spatial structure with theory, in contrast to some of the plasmas in

Table 2-2	
Typical Plasma Parameters for this Experiment	
$n_e$	$\sim 1 \times 10^{13} \text{ cm}^{-3}$ @ 400 $\mu\text{sec}$ after injection
$T_e \sim T_i$	$\sim 17-21 \text{ eV}$ @ 400 $\mu\text{sec}$
$B_T$	$\leq 500 \text{ G}$
$B_P$	$= 860 \text{ G}$ on separatrix in outer high field region
$\tau_B$	$\sim 1 \text{ msec}$ , $\beta$ decay time
	Alfvén time $\sim 0.1 \text{ msec}$

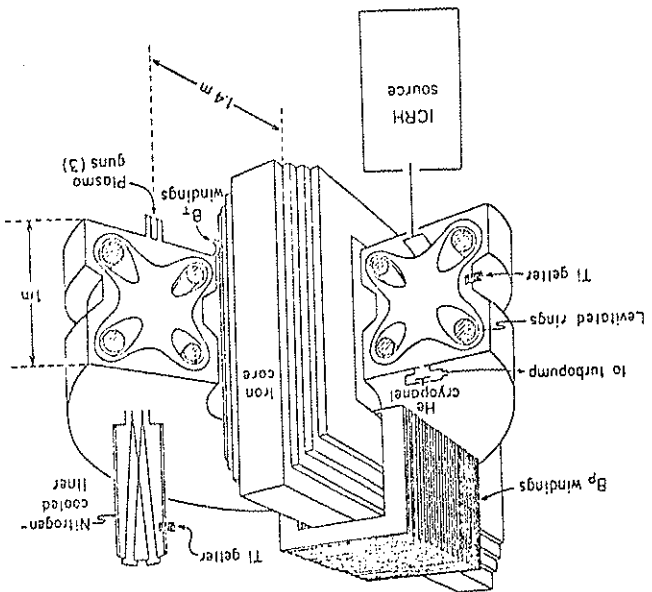
the toroidal field must be triggered  $\sim 1.2 \text{ msec}$  after the poloidal field. The poloidal field peaks at 19.4 msec, and the gun is fired at 18.4 msec.

Typical profiles of  $n_e$  and  $p$  are shown in Figs. 2-8. Fig. 2-9 shows the time decay of the peak (separatrix) density and temperature after gun injection. Profiles of  $\frac{n_e}{n_0}$  and  $\frac{T_e}{T_0}$  are shown in Figs. 2-10 and 2-11. Calculated time evolution of the local beta (in the high-field region) and electron-electron mean free path are shown in Fig. 2-12.

Reference (13). The "Big" guns<sup>34</sup> were avoided, due to their low temperatures, and the relatively large low frequency fluctuations present at "low" densities ( $1.0 \times 10^{13} \text{ cm}^{-3}$ ).

Since the magnetic field is pulsed inductively, and the boundary currents flow in finite conductivity metal, the magnetic field changes in time, with a fundamental frequency of 11 Hz. In order to minimize the effect of the resulting electric fields on this experiment, the plasma is injected into the device  $\sim 1 \text{ msec}$  before the measured peak of the field. Thus, by the time the plasma current flow equilibrates,  $\vec{E} = 0$ . Since the toroidal and poloidal magnetic fields are generated independently, and are always of slightly different period, they must be triggered at different times in order to align their peaks. This is necessary to minimize the changing of the magnetic field pitch during the experiment. Currently,

Fig. 2-1. Wisconsin Levitated Octupole.



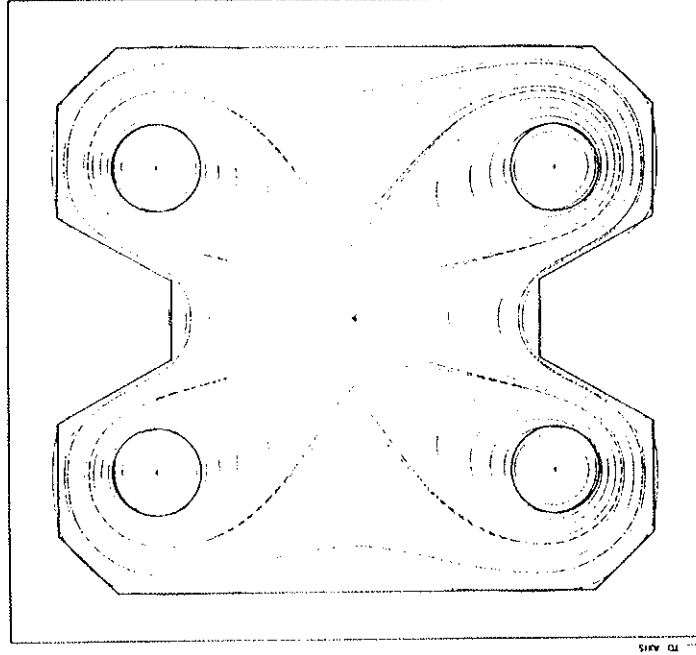


Fig. 2-2. Poloidal flux plot of octupole superimposed on outline of boundary conductors.



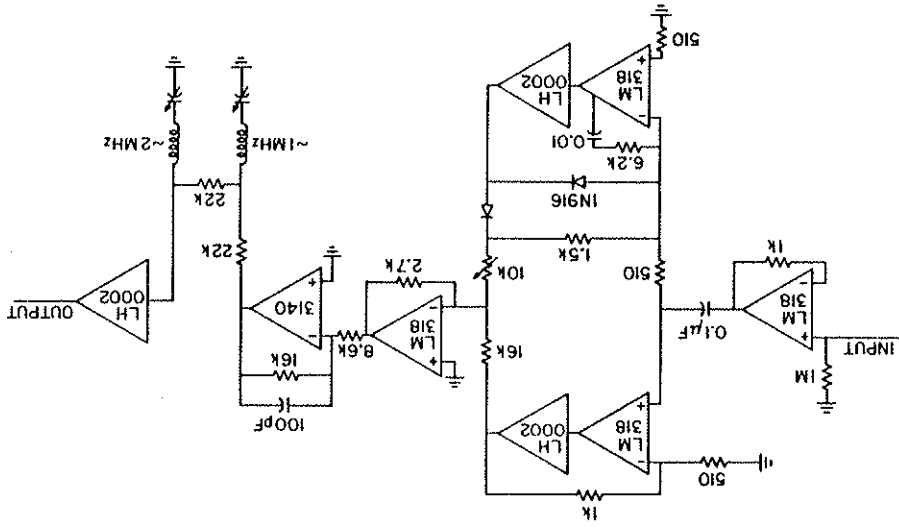


Fig. 2-3. Active full-wave rectifier circuit used to demodulate signal from admittance bridge.

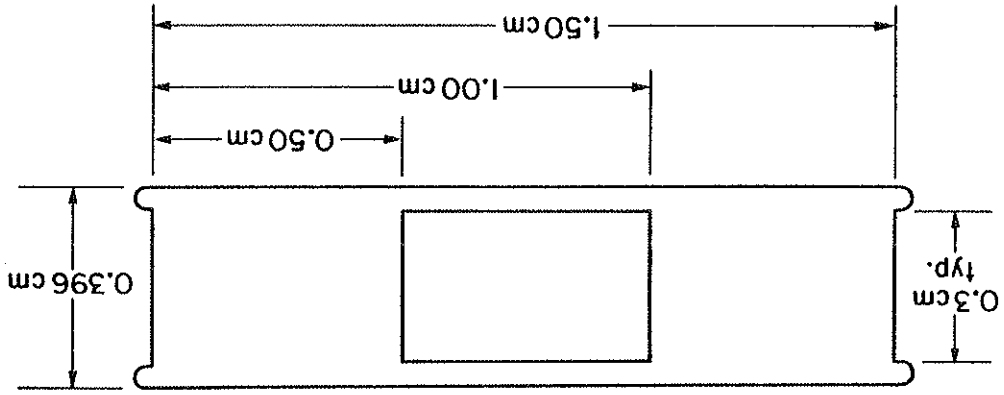


Fig. 2-4. Dimensions of coil form used for two-coil magnetic probe. Coils are parallel, separated by 0.5 cm.



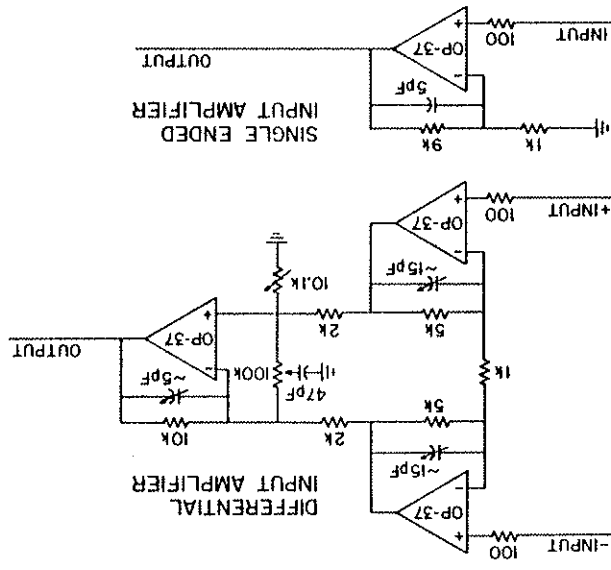


Fig. 2-5. Schematic of front-end amplifiers, used to buffer signals from pick-up coils, and prepare signal for integrating amplifiers. The single ended amplifier is used for measurements of  $B$  from a single coil. The differential amplifier is used to measure  $\nabla B$  using two coils.

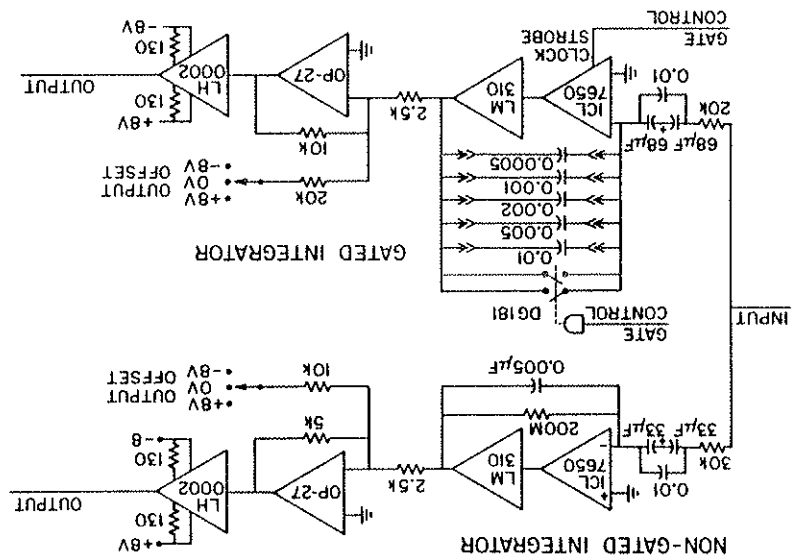


Fig. 2-6. Integrating amplifiers. The non-gated integrator is used to measured the total magnetic field. The gated integrator ignores most of the vacuum field, giving higher sensitivity to the plasma perturbation.

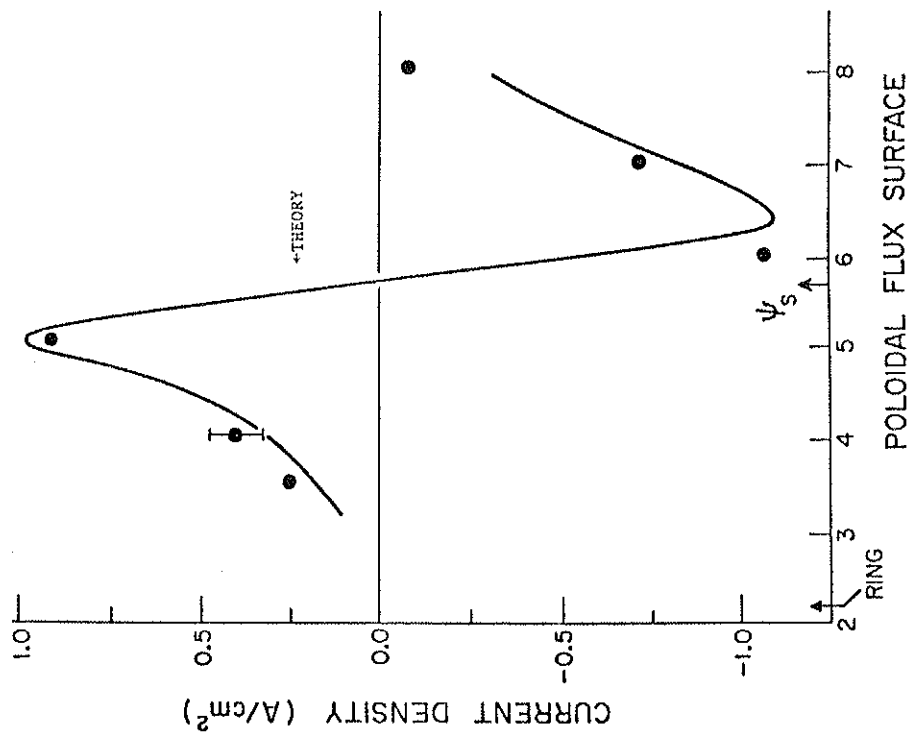


Fig. 2-7. Measured diamagnetic current profile (points) and calculated profile (curve) from experimental pressure profile measured by Langmuir probes.

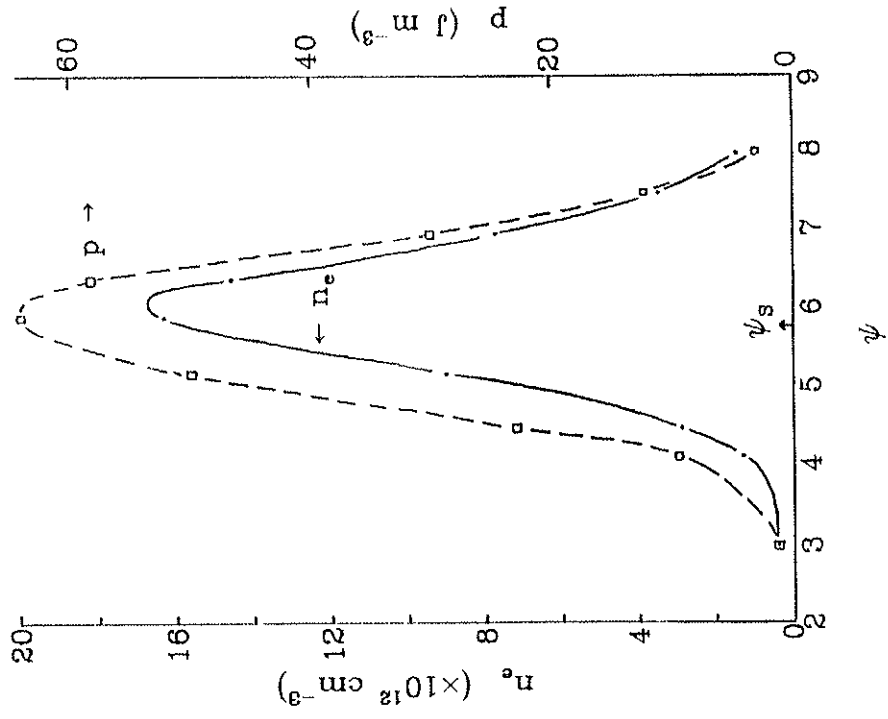
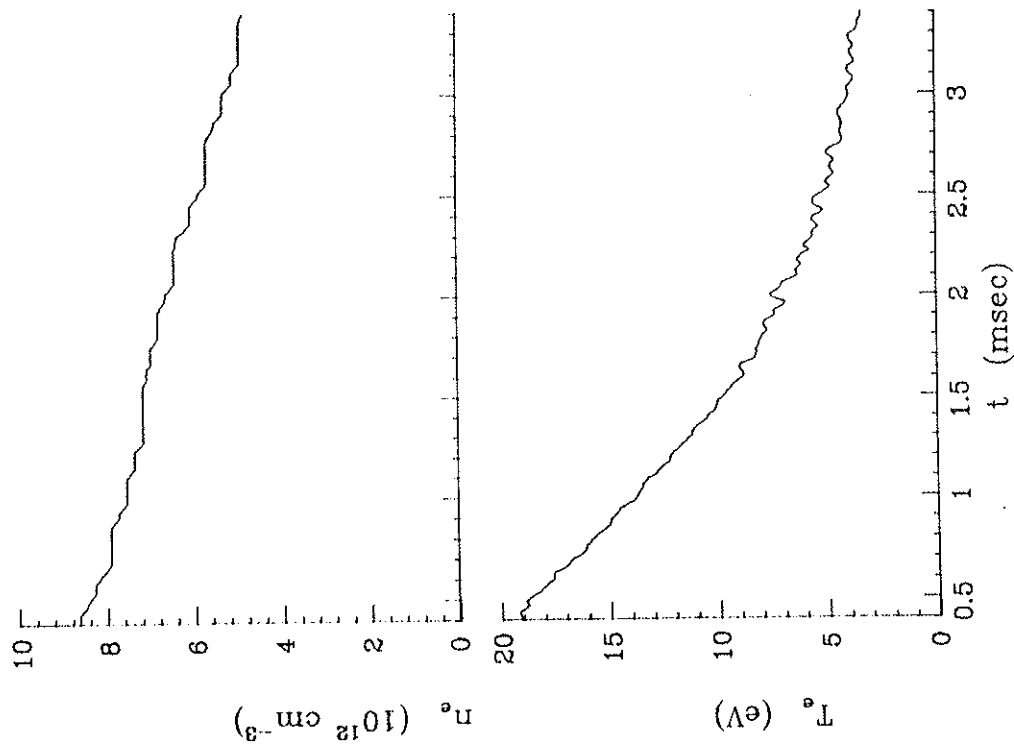


Fig. 2-8. Typical density and pressure profile for plasmas studied, at 400  $\mu$ sec after gun injection.

Fig 2-9 Typical time decay of  $n_e$  and  $T_e$  after injection. measured by interferometer and Langmuir probes, respectively.



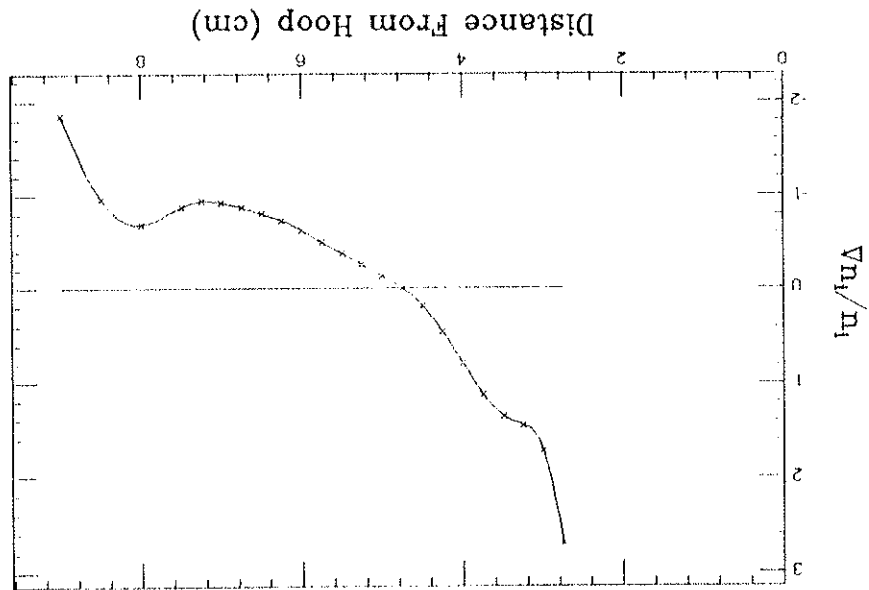
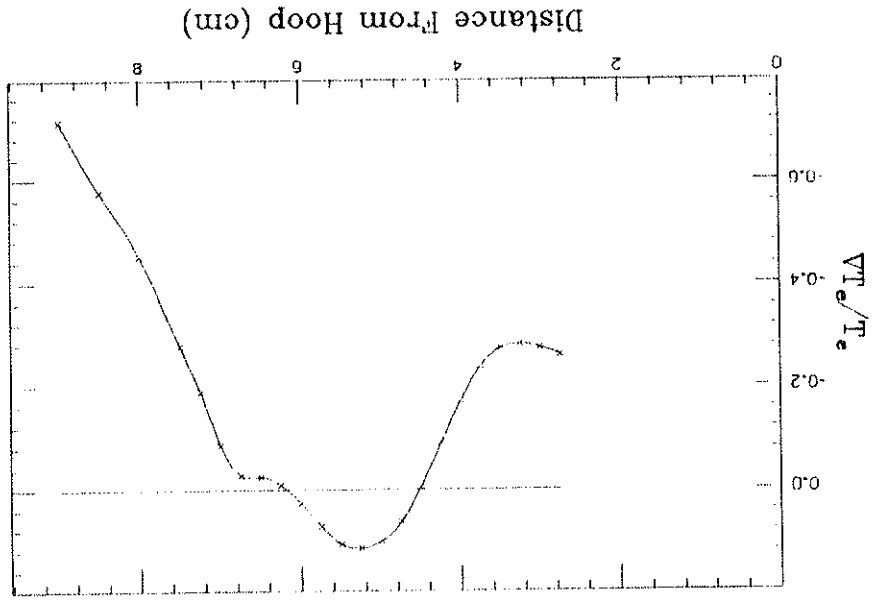


Fig. 2-10. Typical profile of  $\Delta n_e/n_i$  at 500  $\mu$ sec after injection, measured with Langmuir probe.

Fig 2-11. Typical profile of  $\nabla T_e / T_e$ .



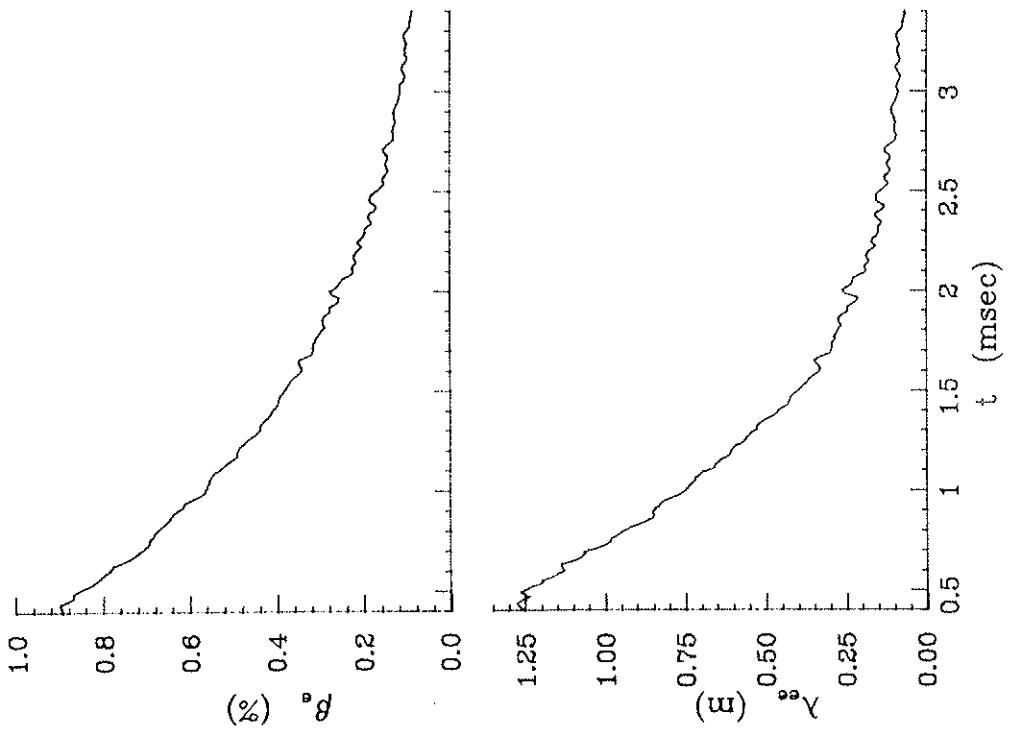


Fig. 2-12. Time decay of local  $\beta_e$  (in outer high field region, on separatrix), and separatrix mean-free-path,  $\lambda_e$ .



Chapter 3  
Theory of Neoclassical Currents

The original kinetic-theory formulations of neoclassical transport theory<sup>1,2</sup> were restricted to large aspect ratio configurations with circular, and later elliptical, flux surfaces. Relatively recently, Hirshman and Sigmar's moment equation approach<sup>15</sup> has allowed calculation of neoclassical effects in axisymmetric geometries of arbitrary cross section. This chapter includes a physical explanation of the neoclassical current, a summary of the moment equation approach for calculating the current for a simple hydrogen plasma (following Hirshman and Sigmar, and related papers), and application of this approach to the experimental geometry.

Throughout this chapter the coordinate system illustrated in Fig. 3-1 shall be used.

### 3.1. Equilibrium Current Structure

In a magnetized plasma, the pressure gradient perpendicular to the magnetic field is balanced by the confining (diamagnetic) current

$$\mathbf{j}_{\perp} = \frac{\mathbf{B} \times \nabla p}{B^2},$$

where  $p$  is the total plasma kinetic pressure. For an axisymmetric sys-

tem with closed magnetic flux surfaces, such as the experimental configuration, this can be simplified to

$$j_{\perp} = R p' \frac{B_p}{B},$$

where  $'$  denotes  $\partial/\partial\psi$ . In steady-state, to avoid local charge accumulation  $\nabla \cdot \mathbf{j} = 0$ , implying  $\nabla_{\perp} \cdot \mathbf{j}_{\perp} = -\nabla_{\parallel} j_{\parallel}$ . For an axisymmetric toroidal system with helical magnetic field  $\nabla_{\perp} B \neq 0$ , thus

$$\begin{aligned} \nabla_{\perp} \cdot \mathbf{j}_{\perp} &= -R p' B_T B_P \nabla_{\perp} B^{-2}, \text{ and} \\ \nabla_{\parallel} j_{\parallel} &= \nabla \cdot \left[ \frac{(\hat{\mathbf{L}} \cdot \hat{\mathbf{B}}) \hat{\mathbf{B}}}{B^2} \right] \\ &= (\hat{\mathbf{B}} \cdot \nabla) \left( \frac{\hat{\mathbf{L}} \cdot \hat{\mathbf{B}}}{B^2} \right) \\ &= B_P \nabla_{\chi} \left( \frac{\hat{\mathbf{L}} \cdot \hat{\mathbf{B}}}{B^2} \right). \end{aligned}$$

Substituting these expressions into  $\nabla_{\perp} \cdot \mathbf{j}_{\perp} = -\nabla_{\parallel} j_{\parallel}$ , integrating poloidally, and using the fact that  $p'$  and  $F(\psi) = R B_T$  are flux surface constants (properly only to first order in the Larmor-radius expansion done below) gives

$$j_{\parallel} = F \frac{p'}{B} + B K(\psi), \tag{3-1}$$

where  $K(\psi)$  is the flux-surface-constant of integration. The first term provides for current continuity with  $j_{\perp}$ , while the second represents additional divergenceless flow, which is indeterminate at this point.

Additional insight may be gained by forming the toroidal and poloidal components of the current:

$$j_T = R p' + B_T K(\psi),$$

$$j_P = B_P K(\psi).$$

The first term of  $j_T$  confines  $\nabla p$  as if  $B_T$  were zero, while  $K(\psi)$  gives the amount of poloidal current.

### 3.2. Simplified Calculation of Neoclassical Parallel Current

$K(\psi)$ , and thus  $j_{\parallel}$ , is determined from the steady state fluid force balance equations. A simplified, but inaccurate, partial derivation of the current is presented first, to elucidate the physics involved. This is followed by a more complete calculation, resulting in the expressions that are compared to the experiment.

Consider the electron fluid momentum balance equation (the  $\nabla$  moment of the Vlasov equation)

$$0 = n_e e_e (\bar{E} + \bar{u}_e \times \bar{B}) - \nabla p_e - \nabla \cdot \bar{\pi}_e + \bar{R}_e, \quad (3-2)$$

where  $n_e = \int f_e d\bar{v}$  is the density,  $e_e = -e$  is the electron charge,  $u_e = n_e^{-1} \int \bar{v} f_e d\bar{v}$  is the flow velocity,  $p_e$  is the electron kinetic pressure,

$$\bar{\pi}_e = \int m_e \left[ (\bar{v} - \bar{u}_e)(\bar{v} - \bar{u}_e) - |\bar{v} - \bar{u}_e|^2 \frac{\bar{v}}{3} \right] f_e d\bar{v}$$

is the traceless viscosity tensor,  $m_e$  is the electron mass,

$\bar{R}_e = \int m_e \bar{v} C_e d\bar{v}$  is the friction force,  $f_e$  is the distribution function,  $C_e$

is the operator describing collisions between electrons and all particle species, and the subscript  $e$  is the species index indicating electrons.

Taking the flux-surface-average of the parallel component yields

$$\langle \bar{B} \cdot \nabla \bar{\pi}_e \rangle = \langle \bar{B} \cdot \bar{R}_e \rangle - n_e e \langle \bar{B} \cdot \bar{E} \rangle, \quad (3-3)$$

where

$$\langle A \rangle = \frac{\int \frac{A}{B} d\bar{u}_{\parallel}}{\int \frac{d\bar{u}_{\parallel}}{B}}$$

Eq. 3-3 is recognized as the classical flux-surface-averaged Ohm's Law, with the parallel viscosity term retained. The bootstrap current will turn out to be proportional to the viscosity (in this simplified calculation). Classically, the parallel friction is given by<sup>35</sup>

$$\langle \bar{B} \cdot \bar{R}_e \rangle = \frac{n_e e}{\sigma_S} \langle \bar{B} \cdot \bar{j} \rangle \quad (3-4)$$

where  $\sigma_S$  is the Spitzer-conductivity, giving

$$\langle \bar{B} \cdot \bar{j} \rangle = \sigma_S \langle \bar{B} \cdot \bar{E} \rangle + \frac{\sigma_S}{n_e e} \langle \bar{B} \cdot \nabla \cdot \bar{\pi}_e \rangle. \quad (3-5)$$

This expression neglects contributions to  $\langle \bar{B} \cdot \bar{R}_e \rangle$  proportional to the heat flow and higher order flows (which will be considered in the next section). Inclusion of additional flows will introduce additional terms to Eq. 3-5 and require simultaneous solutions of additional equations (e.g., the heat flux balance equation). However, many of the characteristics of neoclassical currents are evident in the simple structure of Eq. 3-5.

When  $\langle \bar{B} \cdot \bar{E} \rangle \neq 0$  the ohmic current is reduced by the parallel viscous force, due (in the collisionless regime) to the well known lack of current carried by trapped particles.<sup>36</sup>

With  $\langle \bar{B} \cdot \bar{E} \rangle = 0$ , Eqs. 3-1 and 3-5 give

$$j_{\parallel} = \frac{F_P'}{B} \left( 1 - \frac{B^2}{\langle B^2 \rangle} \right) + \frac{\sigma_S}{n_e e} \frac{B \langle \bar{B} \cdot \nabla \cdot \bar{\pi}_e \rangle}{\langle B^2 \rangle}. \quad (3-6)$$

In the limit  $\nu_e \rightarrow \infty$ , collisions are so frequent that any deviation of the dis-

tribution function from a Maxwellian is washed out, and  $\langle \tilde{B} \cdot \nabla \cdot \tilde{\mathbf{R}}_e \rangle = 0$ . Thus, there is no net force on the flux surface, and from Eqs. 3-3 and 3-4  $\langle \tilde{B} \cdot \tilde{\mathbf{J}} \rangle = \langle \tilde{B} \cdot \tilde{\mathbf{R}}_e \rangle = 0$ . In Eq. 3-6, only the first term remains, giving the Pfirsch-Schlüter current<sup>7</sup> consisting of the term necessary for current continuity, and a term to ensure  $\langle \tilde{B} \cdot \tilde{\mathbf{J}} \rangle = 0$ .

The second term in Eq. 3-6 is the bootstrap current, a divergenceless unidirectional current driven directly by the parallel viscosity. It will turn out that the parallel viscosity is proportional to the poloidal component of the particle flows (and heat flows), and attempts to limit plasma poloidal rotation. In the collisional regime, this is due to the compressional work done on the plasma, as it passes into high field (narrow flux-tube) regions. In the collisionless (banana) regime, this is due to like-particle collisions between (flowing) untrapped particles, and (no-net-flow) trapped particles. In the limit where the parallel viscosity becomes very large (high poloidal mirror ratio), the plasma will establish the level of parallel current so that the total current (including the perpendicular confining current) is only toroidal, as in Fig. 3-2.

### 3.3. Detailed Calculation of Neoclassical Currents

To accurately calculate the collisionality dependence of the neoclassical current, and the partition of the current between ions and electrons, the friction and viscous forces must be carefully calculated from kinetic theory. To present a unified treatment, we start from the drift-kinetic-equation(DKE), which is the gyrophase averaged Vlasov equation

for small  $\delta = \rho/l$ , where  $\rho$  is the gyroradius and  $l$  is the gradient scale length. This equation is approximately solved by first expanding the distribution function in  $\delta$ , and then expanding the remaining  $v_{\parallel}$  and  $v$  dependence of the distribution function in Legendre and Laguerre polynomials<sup>37</sup> respectively. The first several coefficients of these expansions are readily identified with various fluid variables (e.g., velocities, viscosities). Inserting these expansions back into the DKE generates an infinite sequence of coupled ordinary differential equations (only parallel spatial derivatives remain unevaluated) in the expansion coefficients. The first several of these equations are identified as various parallel fluid equations (e.g., parallel continuity and parallel force balance equations). By truncating the expansions, and the sequence of equations, a (small) set of equations is obtained, involving only the fluid variables (flows and viscosities) and moments of the collision operator. These moments and viscosities are then evaluated in terms of the fluid flows. The viscosities are calculated analytically in several asymptotic collisionality regimes, using various approximate forms of the DKE, and are "smoothed" together to give expressions for arbitrary collision frequency. Thus the fluid equations (from the infinite sequence) only involve the balance of the fluid flows, and are finally solved directly for the flow velocities of the individual species, and thus the current.

The steady state DKE<sup>38</sup> for each species ( $\alpha$ ) is

$$(\tilde{\mathbf{v}}_{\perp} + \tilde{\mathbf{v}}_{D\alpha}) \cdot \nabla \tilde{f}_{\alpha} - \frac{e_{\alpha}}{m_{\alpha}} \tilde{\mathbf{v}}_{\perp} \cdot \partial_{\perp} \tilde{A} \frac{\partial \tilde{f}_{\alpha}}{\partial c} = \sum_b C_{\alpha b}(\tilde{f}_{\alpha}, \tilde{f}_b) \quad (3-7)$$

where  $\bar{v}_{D\alpha}$  is the perpendicular drift velocity,  $\bar{f}_\alpha$  is the gyrophase averaged distribution function,  $\bar{A}$  is the vector potential,  $\varepsilon = \frac{1}{2} m_\alpha v^2 + e_\alpha \phi(\psi)$  is the total particle energy and a constant of the motion,  $\phi(\psi)$  is the electrostatic potential,  $C_{\alpha\beta}$  is the collision operator between species  $\alpha$  and  $\beta$ . Terms of the DKE given in Reference (38) that were clearly  $O(\delta^2)$  have been neglected, and  $\beta = O(\delta)$ . We shall drop the overbar notation, and all distribution functions shall be understood to be gyrophase averaged. Expanding  $f_\alpha$  as  $f_{\alpha 0} + f_{\alpha 1}$ , where  $f_{\alpha 1}/f_{\alpha 0} = O(\delta)$ , and substituting into Eq. 3-7 gives the  $O(\delta^0)$  equation

$$\bar{v}_{\parallel} \nabla f_{\alpha 0} = \sum_{\beta} C_{\alpha\beta}(f_{\alpha 0}, f_{\beta 0}).$$

The solution for the lowest order distribution function is a local Maxwellian<sup>2</sup>

$$f_{\alpha 0} = \frac{n_\alpha(\psi)}{n^{3/2} v_{T\alpha}^3} e^{-\varepsilon/v_{T\alpha}^2},$$

where  $v_{T\alpha} = (2T_\alpha(\psi)/m_\alpha)^{1/2}$  is the thermal speed, and  $n_\alpha = v/v_{T\alpha}$ . The  $O(\delta)$  equation is

$$\bar{v}_{\parallel} f_{\alpha 1} + \bar{v}_{D\alpha} \cdot \nabla \psi f_{\alpha 0} - v_{\parallel} \frac{e_\alpha E_{\parallel}}{T_\alpha} f_{\alpha 0} = C_{\alpha\beta}(f_{\alpha 1}, f_{\beta 1}), \quad (3-8)$$

where

$$C_{\alpha}(f_{\alpha 1}, f_{\beta 1}) = \sum_{\beta} [C_{\alpha\beta}(f_{\alpha 1}, f_{\beta 0}) + C_{\alpha\beta}(f_{\alpha 0}, f_{\beta 1})] \quad (3-9)$$

is the collision operator linearized about the Maxwellian  $f_{\alpha 0}$ , and  $\bar{n} = \bar{B}/B$ . The perpendicular drift velocity is given (for the geometry in Fig. 3-1)

by<sup>39</sup>

$$\begin{aligned} \bar{v}_{D\alpha} \cdot \nabla \psi &= -F(\psi) v_{\parallel} (\bar{n} \cdot \nabla) \left( \frac{v_{\parallel}}{\Omega_\alpha} \right), \\ &= F(\psi) \frac{(\bar{n} \cdot \nabla B)}{e_\alpha B^2} \frac{m_\alpha}{2} (v_{\parallel}^2 + v^2), \end{aligned} \quad (3-10)$$

where  $\Omega_\alpha = e_\alpha B/m_\alpha$  is the cyclotron frequency.

In order to separate out the  $v_{\parallel}$  dependence,  $f_{\alpha 1}$  is expanded in Legendre polynomials:

$$f_{\alpha 1} = f_{\alpha 0} \sum_l A_{\alpha l}(\psi, \chi, v) P_l \left( \frac{v_{\parallel}}{v} \right),$$

where  $P_0 = 1$ ,  $P_1(x) = x$ , and  $P_2(x) = \frac{1}{2}(3x^2 - 1)$ . When this expansion is inserted into Eq. 3-8, and the identities  $(\bar{n} \cdot \nabla) \delta_{\mu\nu} v_{\parallel}^2 = -v_{\parallel}^2 (\bar{n} \cdot \nabla B)/B$  and<sup>40</sup>

$$(1-x^2) \frac{dP_l(x)}{dx} = \frac{l(l+1)}{2l+1} (P_{l+1}(x) - P_{l-1}(x))$$

are used, an infinite sequence of coupled differential equations of the form

$$\begin{aligned} C_{\alpha}^{(l)}(A_{\alpha l}, A_{\beta l}) &= v \frac{l}{2l-1} \left[ \frac{(l-1)}{2} \frac{\bar{n} \cdot \nabla B}{B} + \bar{n} \cdot \nabla \right] f_{\alpha 0} A_{\alpha}(l-1) \\ &+ v \frac{l+1}{2l+3} \left[ -\frac{(l+2)}{2} \frac{\bar{n} \cdot \nabla B}{B} + \bar{n} \cdot \nabla \right] f_{\alpha 0} A_{\alpha}(l+1) \\ &- v \frac{e_\alpha E_{\parallel}}{T_\alpha} \delta_{l1} f_{\alpha 0} \\ &+ F \frac{\bar{n} \cdot \nabla B}{e_\alpha B^2} T_{\alpha}^{-2} \left( \frac{4}{3} \delta_{l0} + \frac{2}{3} \delta_{l2} \right) f_{\alpha 0}, \end{aligned} \quad (3-11)$$

are generated, where  $\delta_{lm}$  is the Kronecker delta, and

$$C_{\alpha}^{(l)}(A_{\alpha l}, A_{\beta l}) P_l \equiv C_{\alpha}(A_{\alpha l} P_l f_{\alpha 0}, A_{\beta l} P_l f_{\beta 0}),$$

since the Legendre polynomials (indeed all spherical harmonics) are eigenfunctions of the linearized collision operator<sup>41</sup> (partially motivating

this expansion). Further expanding the  $v$  dependence of  $A_{\alpha 1}$  in Laguerre polynomials of order 3/2

$$A_{\alpha 1} = \frac{2}{v T_{\alpha}} x_{\alpha} \sum_j u_{\alpha j} (\psi, X) L_j^{(3/2)}(x_{\alpha}^2) \quad (3-12)$$

$$u_{\alpha j} = \frac{v n_{\alpha}}{2} \frac{\int x_{\alpha} L_j^{(3/2)}(x_{\alpha}^2) P_1(\frac{v_{||}}{v}) f_{\alpha 1} d^3v}{\int x_{\alpha}^2 [L_j^{(3/2)}(x_{\alpha}^2) P_1(\frac{v_{||}}{v})]^2 f_{\alpha 0} d^3v}$$

where  $L_0^{(3/2)}=1$  and  $L_1^{(3/2)}(x_{\alpha}^2)=\frac{5}{2}-x_{\alpha}^2$ , the first two coefficients are identified as

$$u_{\alpha 0} = n_{\alpha} \bar{u}_{\alpha} = u_{||\alpha}$$

$$u_{\alpha 1} = -\frac{2}{5} \frac{n_{\alpha} \bar{q}_{\alpha}}{P_{\alpha}} = -\frac{2}{5} \frac{q_{||\alpha}}{P_{\alpha}}$$

where  $\bar{q}_{\alpha} = -T_{\alpha} \int L_1^{(3/2)} f_{\alpha 1} d^3v$  is the thermal (random) heat flux.

Thus, to calculate the parallel current we must solve a suitably truncated Eq. 3-11 for each  $u_{||\alpha} = u_{\alpha 0}$  (i.e. for each species) eliminating all other expansion coefficients of the distribution functions. These equations have recently been solved numerically for the ion heat conductivity in a simple large aspect ratio circular cross section tokamak, by expanding the parallel spatial dependence in a Fourier series.<sup>8</sup> In the moment approach, analytic solutions are obtained in various asymptotic collisionality regimes, and then smoothed together to get a continuously valid approximation.

Expanding  $A_{\alpha 0}$  in Laguerre polynomials of order 1/2 gives

$$A_{\alpha 0} = \sum_j \tau_{\alpha j} L_j^{(1/2)}(x_{\alpha}^2). \quad (3-13)$$

$$\tau_{\alpha j} = \frac{\int L_j^{(1/2)}(x_{\alpha}^2) P_0 f_{\alpha 1} d^3v}{\int [L_j^{(1/2)}(x_{\alpha}^2) P_0]^2 f_{\alpha 0} d^3v},$$

where  $L_0^{(1/2)}=1$ ,  $L_1^{(1/2)}(x_{\alpha}^2)=\frac{3}{2}-x_{\alpha}^2$ . The first two coefficients are identified as

$$\tau_{\alpha 0} = \bar{n}_{\alpha}$$

$$\tau_{\alpha 1} = -\bar{T}_{\alpha} \frac{n_{\alpha}}{T_{\alpha}}$$

where  $\bar{n}_{\alpha}$  and  $\bar{T}_{\alpha}$  are the poloidally varying portions of the density and temperature, respectively. Expanding  $A_{\alpha 2}$  in Laguerre polynomials of order 5/2

$$A_{\alpha 2} = \frac{2}{3} x_{\alpha}^2 \sum_j p_{\alpha j} (\psi, X) L_j^{(5/2)}(x_{\alpha}^2). \quad (3-14)$$

$$p_{\alpha j} = \frac{3}{2} \frac{\int x_{\alpha}^2 L_j^{(5/2)}(x_{\alpha}^2) P_2(\frac{v_{||}}{v}) f_{\alpha 1} d^3v}{\int x_{\alpha}^4 [L_j^{(5/2)}(x_{\alpha}^2) P_2(\frac{v_{||}}{v})]^2 f_{\alpha 0} d^3v}$$

where  $L_0^{(5/2)}=1$ ,  $L_1^{(5/2)}(x_{\alpha}^2)=\frac{7}{2}-x_{\alpha}^2$ . The first two coefficients are identified as

$$p_{\alpha 0} p_{\alpha} = P_{||\alpha} - P_{\perp\alpha}$$

$$p_{\alpha 1} p_{\alpha} = -\frac{2}{7} (\Theta_{||\alpha} - \Theta_{\perp\alpha}) + \frac{2}{7} P_{\alpha 0} \Theta_{\alpha} \quad (3-15)$$

where  $P_{||\alpha} = \int m_{\alpha} v_{||}^2 f_{\alpha 1} d^3v$ ,  $P_{\perp\alpha} = \int \frac{1}{2} m_{\alpha} v_{\perp}^2 f_{\alpha 1} d^3v$ ,  $\Theta_{||\alpha} = -\int m_{\alpha} v_{||}^2 L_1^{(5/2)} f_{\alpha 1} d^3v$ , and  $\Theta_{\perp\alpha} = -\int \frac{1}{2} m_{\alpha} v_{\perp}^2 L_1^{(5/2)} f_{\alpha 1} d^3v$ .

To first order in the gyroradius expansion, the viscosity tensor has the Chew-Goldberger-Low form<sup>42</sup>

$$\begin{aligned}\bar{\pi}_a &= (p_{\parallel a} - p_{\perp a})(\bar{n}\bar{n} - \frac{1}{3}), \\ &= p_{a0}p_a(\bar{n}\bar{n} - \frac{1}{3}).\end{aligned}$$

Similarly, the "heat-viscosity tensor,"

$$\bar{\theta}_a = -\int m_a v \{ (v - \bar{v}_a)(v - \bar{v}_a) - \frac{1}{3} \nabla \cdot \bar{v}_a \} L_j^{(3/2)}(x_a^2) f_a d\bar{v}$$

has the form

$$\begin{aligned}\bar{\theta}_a &= (\theta_{\parallel a} - \theta_{\perp a})(\bar{n}\bar{n} - \frac{1}{3}), \\ &= (p_{a0}p_a - \frac{7}{2}p_{a1}p_a)(\bar{n}\bar{n} - \frac{1}{3}).\end{aligned}$$

The  $L_j^{(1/2)}$  moments of Eq. 3-11 for  $l=0$  give various steady-state parallel continuity equations (e.g., for density and energy) in the presence of the  $\bar{E} \times \bar{B}$  drift and the diamagnetic particle and heat flows. These equations, as shown in section 3.3.2, fix the spatial variation (but not the value) of  $u_{\parallel a}$  and  $q_{\parallel a}$ , as was done in section 3.1 for the parallel current  $j_{\parallel} \propto u_{\parallel} - u_{\parallel}^*$ .

The  $L_j^{(3/2)}$  moments of Eq. 3-11 for  $l=1$  give the steady-state parallel flux balance equations (e.g., for momentum and heat). These equations will determine the flow values, provided the other force terms (viscosities) can be calculated from higher order equations. We proceed by explicitly forming the first two flux balance equations. Taking the  $\langle B \int m_a v P_1 L_0^{(3/2)} d\bar{v} \rangle = \langle B \int m_a v_{\parallel} d\bar{v} \rangle$  moment of Eq. 3-11 for  $l=1$  yields the flux-surface-averaged steady state fluid momentum balance equation

$$\langle \bar{B} \cdot \nabla \bar{\pi}_a \rangle = \langle \bar{B} \bar{R}_a \rangle - n_a e_a \langle \bar{B} \cdot \bar{E} \rangle. \quad (3-15)$$

after using

$$\langle \bar{B} \cdot \nabla \bar{\pi}_a \rangle = \langle (p_{\perp a} - p_{\parallel a}) (\bar{n} \cdot \nabla B) \rangle. \quad (3-16)$$

Note that Eq. 3-15 is the generalization of Eq. 3-3, and could, just as easily, have been obtained from single species fluid momentum balance equation (e.g., Eq. 3-2) as was done in section 3.2. Taking the  $\langle B \int m_a v P_1 L_1^{(3/2)} d\bar{v} \rangle = \langle B \int m_a v_{\parallel} (\frac{v^2}{2} - \frac{v_{\parallel}^2}{v_{\perp a}^2}) \rangle$  moment of the  $l=1$  equation gives the flux-surface-averaged steady state fluid heat flux balance equation

$$\langle \bar{B} \cdot \nabla \bar{\theta}_a \rangle = \langle \bar{B} \bar{H}_a \rangle, \quad (3-17)$$

where  $\bar{H}_a = -\int m_a v \nabla L_1^{(3/2)} C_a (f_a \cdot \bar{b}) d\bar{v}$  is the heat flux friction force, and we have used

$$\langle \bar{B} \cdot \nabla \bar{\theta}_a \rangle = \langle (\theta_{\perp a} - \theta_{\parallel a}) (\bar{n} \cdot \nabla B) \rangle. \quad (3-18)$$

Again, Eq. 3-17 can be obtained<sup>15</sup> by taking  $\langle \bar{B} \cdot \nabla \rangle$  of the fluid heat-flow balance equation (the  $v^2$  moment of the Vlasov equation).

The heat-flux-balance equation must be considered because an accurate representation of the forces  $\langle \bar{B} \bar{R}_a \rangle$  and  $\langle \bar{B} \cdot \nabla \bar{\pi}_a \rangle$  will include terms proportional to  $q_{\parallel a}$ . If a more accurate representation of these forces were needed, terms proportional to higher order flows (e.g.,  $v_{\perp 2}$ ) would appear, and higher order flux-balance equations would need to be considered (e.g., the  $\langle B \int m_a v P_1 L_2^{(3/2)} d\bar{v} \rangle$  moment of the  $l=1$  equation).

Now, in order to obtain equations that can be solved for the  $u_{\parallel a}$ , we proceed to calculate the coefficients relating the fluid forces  $\langle \bar{B} \bar{R}_a \rangle$ ,

relations for the friction coefficients. The friction forces, from Eq. 3-19, then become<sup>43</sup>

$$\begin{aligned} \bar{n} \bar{R}_\alpha &= -\bar{n} \bar{R}_\alpha \\ &= L_{11}^{\alpha} (u_{1\alpha} - u_{1\beta}) + L_{12}^{\alpha} \frac{2}{5} \frac{q_{1\alpha}}{P_\alpha} \\ \bar{n} \bar{R}_\alpha &= -L_{21}^{\alpha} (u_{1\alpha} - u_{1\beta}) - L_{22}^{\alpha} \frac{2}{5} \frac{q_{1\alpha}}{P_\alpha} \\ \bar{n} \bar{R}_\alpha &= -L_{22}^{\alpha} \frac{2}{5} \frac{q_{1\alpha}}{P_\alpha} \end{aligned} \quad (3-20)$$

where terms of relative order  $m_\alpha/m_\beta$  have been eliminated. The expansions in Eq. 3-19 have been truncated at two terms, in contrast to reference (15), because the literature has not stabilized in its treatment of the next order term (proportional to  $u_{\alpha 2}$ ), especially in the viscosities, and because additional terms are not expected to significantly alter the predictions for the experiment (see section 3.4). The friction coefficients are

$$\begin{aligned} L_{11}^{\alpha} &= \frac{n_\alpha m_\alpha}{T_{\alpha 1}} \\ L_{12}^{\alpha} &= L_{21}^{\alpha} = \frac{3}{2} \frac{n_\alpha m_\alpha}{T_{\alpha 2}} \\ L_{22}^{\alpha} &= \left( \frac{13}{4} + \sqrt{2} \right) \frac{n_\alpha m_\alpha}{T_{\alpha 2}} \\ L_{22}^{\alpha} &= \sqrt{2} \frac{n_\alpha m_\alpha}{T_{\alpha 2}} \end{aligned}$$

The use of a linearized collision operator guarantees that, to  $O(\delta)$ , the friction coefficients are independent of collisionality regime (in contrast to the viscosities, below).

$\langle \bar{B} \bar{v} \cdot \bar{u}_\alpha \rangle$ ,  $\langle \bar{B} \bar{v} \cdot \bar{u}_\alpha \rangle$ , and  $\langle \bar{B} \bar{v} \cdot \bar{u}_\alpha \rangle$  to the fluid flows  $u_{1\alpha}$  and  $q_{1\alpha}$ .

### 3.3.1. Friction Coefficients

The parallel friction forces are proportional to the  $P_1 L_j^{(3/2)}$  moments of the collision operator, for  $j = 0, 1$ . Since the Legendre polynomials are eigenfunctions of the collision operator, these forces may be written as

$$\begin{aligned} \bar{n} \bar{R}_\alpha &= m_\alpha v_{T\alpha} \int x_\alpha P_1^2 C_\alpha^{(1)}(A_{\alpha 1}, A_{\beta 1}) d\bar{v} \\ \bar{n} \bar{R}_\alpha &= -m_\alpha v_{T\alpha} \int x_\alpha P_1^2 L_j^{(3/2)} C_\alpha^{(1)}(A_{\alpha 1}, A_{\beta 1}) d\bar{v} \end{aligned}$$

and will thus be proportional to the  $l=1$  moments of the  $f_{\alpha 1}$ , the fluid flows. Substituting the expansion for  $A_{\alpha 1}$ , Eq. 3-12, into the collision operator gives an expansion for the friction forces in terms of  $l=1$  matrix elements of the collision operator

$$\bar{n} \bar{R}_\alpha = n_\alpha m_\alpha \sum_b \tau_{ab}^{-1} \sum_j (u_{\alpha j} M_{ab}^{0j} + u_{\beta j} N_{ab}^{0j}) \quad (3-19)$$

$\bar{n} \bar{R}_\alpha = -n_\alpha m_\alpha \sum_b \tau_{ab}^{-1} \sum_j (u_{\alpha j} M_{ab}^{1j} + u_{\beta j} N_{ab}^{1j})$  where the Braginskii Coulomb collision time<sup>3</sup> is

$$\tau_{ab} = \frac{3}{16\sqrt{\pi}} \frac{m_\alpha^2 v_{T\alpha}^2}{n_b e_a^2 e_b^2 \ln \Lambda}$$

$\ln \Lambda$  is the Coulomb logarithm, and the matrix elements have the form

$$\begin{aligned} M_{ab}^{0j} &= \frac{2\tau_{ab}}{n_\alpha} \int x_\alpha P_1 L_j^{(3/2)} C_{ab}(x_\alpha P_1 L_j^{(3/2)} f_{\alpha 0}, f_{\beta 0}) d\bar{v} \\ N_{ab}^{0j} &= \frac{2\tau_{ab}}{n_\alpha} \frac{v_{T\alpha}}{v_{T\beta}} \int x_\alpha P_1 L_j^{(3/2)} C_{ab}(f_{\alpha 0}, x_\beta P_1 L_j^{(3/2)} f_{\beta 0}) d\bar{v} \end{aligned}$$

The matrix elements are evaluated in Reference (15). The symmetries of the matrix coefficients,  $M_{ab}^{0j} = M_{ba}^{0j}$  and  $T_b^2 v_{T\beta} N_{ab}^{0j} = T_a^2 v_{T\alpha} N_{ba}^{0j}$  are due to the self-adjointness of the Coulomb collision operator, and lead to symmetry

### 3.3.2. Viscosity Coefficients

The goal now is to solve Eq. 3-11, for  $l \neq 1$ , to get expressions for the viscous forces ( $l=2$  moments of  $f_a$ ) in terms of the flows ( $l=1$  moments of  $f_a$ ) that may be substituted into the flux balance equations, Eq. 3-15 and Eq. 3-17 (moments of Eq. 3-11,  $l=1$ ). The form of the relation between the viscosities and the first two flows ( $u_{|a}$  and  $q_{|a}$ ) may be deduced from the expanded  $l=0$  and  $l=2$  equations after substituting

$$f_{a0} = f_{a0} \left[ \frac{p_a}{p_a} - L_1^{(3/2)} \frac{T_a'}{T_a} + \frac{e_a \phi'}{T_a} \right] \quad (3-21)$$

The  $L_0^{(1/2)}$  and  $L_1^{(1/2)}$  moments of the  $l=0$  equation then give (recalling that the collision operator conserves particles and energy)

$$\begin{aligned} 0 &= \bar{n} \nabla u_{|a} - \bar{n} \nabla \frac{B}{B} u_{|a} + 2F T_a \frac{\bar{n} \nabla B}{e_a B^2} \left[ \frac{p_a}{p_a} + \frac{e_a \phi'}{T_a} \right], \\ 0 &= \bar{n} \nabla q_{|a} - \bar{n} \nabla \frac{B}{B} q_{|a} + 5F T_a p_a \frac{\bar{n} \nabla B}{e_a B^2} \left[ \frac{p_a}{p_a} + \frac{e_a \phi'}{T_a} \right], \end{aligned}$$

which have the solutions

$$\begin{aligned} u_{|a} &= u_{0a} B + F \frac{T_a}{e_a B} \left[ \frac{p_a}{p_a} + \frac{e_a \phi'}{T_a} \right], \\ q_{|a} &= q_{0a} B + \frac{5}{2} F p_a \frac{T_a}{e_a B} \left[ \frac{p_a}{p_a} + \frac{e_a \phi'}{T_a} \right], \end{aligned} \quad (3-22)$$

where  $u_{0a}$  and  $q_{0a}$  are constants of integration, and are identified as the poloidal flow velocity and poloidal heat flow, respectively. Substituting these expressions into Eq. 3-11 for  $l=2$ , we see that the flow velocity and heat flow only appear through terms proportional to  $u_{0a} \bar{n} \nabla B$  and  $q_{0a} p_a^{-1} \bar{n} \nabla B$ . Since the  $l=2$  equation is the only unused equation contain-

ing the  $l=1$  moments of  $f_a$ , and since all the equations are linear in the moments of  $f_a$ , the ultimate solutions for the two lowest order viscosities in terms of the two lowest order flows must take the form

$$\begin{aligned} \langle \bar{B} \nabla \cdot \bar{n}_a \rangle &= 3 \langle \bar{n} \nabla B \rangle^2 \sum_0 (\mu_{11}^{ab} u_{0b} + \mu_{12}^{ab} \frac{2}{5} \frac{q_{0b}}{p_b}), \\ \langle \bar{B} \nabla \cdot \bar{q}_a \rangle &= 3 \langle \bar{n} \nabla B \rangle^2 \sum_0 (\mu_{21}^{ab} u_{0b} + \mu_{22}^{ab} \frac{2}{5} \frac{q_{0b}}{p_b}), \end{aligned} \quad (3-23)$$

where the  $\mu_{ij}^{ab}$  are the collisionality-dependent viscosity coefficients, and the overall factor of three is inserted<sup>43</sup> so that  $\mu_{11}^{aa}$  reduces to the classical value in the collisional limit. Thus, as discussed in section 3.2, the viscous forces depend only on the poloidal flows, and act to damp them out. The inter-species couplings in Eq. 3-23 arise from the field-particle terms (second term in Eq. 3-9) of the linearized collision operator in Eq. 3-11 for  $l \geq 2$ . Since the test-particle terms (first term in Eq. 3-9) typically dominate the field-particle terms<sup>41</sup> by a factor of  $l^2$ , the inter-species coupling terms in Eq. 3-23 will be dropped.

Unfortunately, the system of equations Eq. 3-11, for  $l \geq 2$ , cannot be solved analytically for the viscosity coefficients at all collision frequencies. The standard technique is to obtain analytic solutions in several asymptotic collisionality regimes, and smooth these together to obtain uniformly applicable expressions. These regimes are: (1) The collisional (or fluid) regime, when the collision operator is dominant and trapped particle effects are ignorable. This occurs for  $\tau_a \ll \tau_{aB}$ , where  $\tau_{aB}$  is the thermal bounce frequency. (2) The collisionless (or banana) regime,



when trapped particle effects dominate and particle are assumed to complete many ("banana") drift orbits before suffering a detrapping collision. This occurs for  $\tau_a \gg \tau_{aB} / f_l$ , where  $f_l$  is the trapped particle fraction. (3) The intermediate (or plateau) regime, when  $\tau_a \gtrsim \tau_{aB}$ , and thus resonant particles do not have their cross-flux-surface drift ( $\nabla_{Da} \cdot \nabla \psi$ ) canceled before scattering.

3.3.2.1. Fluid (Collisional) Regime

The collisional viscosity has been calculated by several different methods.<sup>4,45</sup> The expression used is Eq. 3-11  $l=2$ , where the  $l=3$  moments of  $f_{a1}$  are taken to be of order  $\frac{\tau_{aa}}{\tau_{aB}} \ll 1$  relative to the rest of the terms, and neglected. Expanding  $A_{a1}$  and  $A_{a2}$  using Eqs. 3-12 and 3-14, truncating both expansions after the first two terms, and using Eq. 3-22 gives

$$2x_a^2 \left[ \frac{1}{2} \tau_{a1} L_0^{(3/2)} + \frac{2}{5} \frac{q_{a2}}{P_a} L_1^{(3/2)} \right] (\mathbf{n} \cdot \nabla B) P_2 f_{a0},$$

$$= \sum_0^6 C_{ab} \left( \frac{2}{3} x_a^2 (P_{a0} + P_{a1}) P_2 f_{a0}, f_{b0} \right)$$

where the field-particle terms have been neglected in the collision operator. Taking the  $x^2 L_0^{(3/2)} P_2$  and  $x^2 L_1^{(3/2)} P_2$  moments gives the coupled equations

$$\frac{3}{2} n_a (\mu_{a1} - \frac{2}{5} \frac{q_{a2}}{P_a})$$

$$= \sum_{j=0}^1 P_{aj} \sum_0^6 x_a^4 L_j^{(5/2)} P_2 C_{ab} \left( \frac{2}{3} x_a^2 L_j^{(5/2)} P_2 f_{a0}, f_{b0} \right) d\mathbf{v},$$

$$\frac{21}{4} n_a \frac{2}{5} \frac{q_{a2}}{P_a}$$

$$= \sum_{j=0}^1 P_{aj} \sum_0^6 x_a^4 L_j^{(5/2)} P_2 C_{ab} \left( \frac{2}{3} x_a^2 L_j^{(5/2)} P_2 f_{a0}, f_{b0} \right) d\mathbf{v}.$$

The matrix elements of the collision operator are given, and the equations inverted, in Reference (15). For a simple (Hydrogen) plasma, the viscosity coefficients are then evaluated to be:

$$\mu_{11}^{ii} = \frac{n_e n_e}{T_{ee}} \lambda_{ee}^2 \frac{0.731}{2},$$

$$\mu_{12}^{ii} = \mu_{21}^{ii} = \frac{n_e n_e}{T_{ee}} \lambda_{ee}^2 \frac{3.273}{2},$$

$$\mu_{22}^{ii} = \frac{n_e n_e}{T_{ee}} \lambda_{ee}^2 \frac{16.059}{2},$$

$$\mu_{11}^{ii} = \frac{n_e n_e}{T_{ei}} \lambda_{ei}^2 \frac{1.358}{2},$$

$$\mu_{12}^{ii} = \mu_{21}^{ii} = \frac{n_e n_e}{T_{ei}} \lambda_{ei}^2 \frac{5.586}{2},$$

$$\mu_{22}^{ii} = \frac{n_e n_e}{T_{ei}} \lambda_{ei}^2 \frac{26.363}{2},$$

where  $\lambda_{aa} = v \tau_a$  is the mean free path, and terms of order  $(\pi_e / \pi_i)^{1/2}$  have been omitted.

### 3.3.2.2. Plateau (Intermediate) Regime

The plateau regime viscosity coefficients have been calculated directly, for large aspect ratio and circular cross section, by Shaing and Callen.<sup>46</sup> They have also been indirectly calculated<sup>47</sup> by comparing the calculated cross-flux-surface particle and heat flux with expressions for these fluxes in terms of the parallel viscosities. In either case, the plateau calculations assume  $\delta_B \ll 1$ , and thus  $f_t \ll 1$ , where  $\delta_B = (B_{\theta z} - B_{\theta r}) / (B_{\theta z} + B_{\theta r})$  is the magnetic field modulation parameter, and  $B_{\theta z}$  and  $B_{\theta r}$  are the magnetic field strength maxima and minima for a given flux surface.

The direct calculation proceeds by noticing that the standard solution<sup>48</sup> to the first order DXE (Eq. 3-8), in the plateau regime, has the form<sup>46</sup>

$$f_{a1} = P_1 \left( \frac{v_{\parallel}}{v} \right) \left[ S_a(\psi, v) \frac{B}{\langle B^2 \rangle_X} f_{a0} + F \frac{v}{\Omega_a} f'_{a0} \right] + h_{a1}, \quad (3-25)$$

where  $h_{a1}$  is the "localized" portion of the distribution function, and is of order  $(\tau_{aB} / \tau_a)^{-1/3} \delta_B \ll 1$  with respect to first term.<sup>46</sup> Therefore, the portion in square brackets is taken as equal to  $A_{a1}$ , and expanded in Laguerre polynomials using Eq. 3-12, giving

$$S_a(\psi, v) = \frac{2\tau_a}{v\tau_a} \left[ u_{\phi a} - \frac{2}{5} \frac{q_{\phi a}}{P_a} \right] \langle B^2 \rangle_X$$

where the expansion has been truncated after the first two terms. Eq. 3-25 is substituted into the DXE, Eq. 3-8, which is solved for  $h_{a1}$  in terms of  $S_a$ , and thus  $u_{\phi a}$  and  $q_{\phi a}$ . The viscosity coefficients are obtained by

directly integrating  $h_{a1}$  to evaluate Eqs. 3-16 and 3-18 (the rest of  $f_{a1}$  does not contribute, as it is proportional to  $P_1$ ), giving<sup>46</sup>

$$\mu_{\text{vis}}^{11} = \frac{\tau_a m_a}{\tau_{\text{vis}}} \lambda_{\text{vis}} L_c \frac{\sqrt{\pi}}{6} \Gamma(3), \quad (3-26)$$

$$\mu_{\text{vis}}^{12} = \frac{\tau_a m_a}{\tau_{\text{vis}}} \lambda_{\text{vis}} L_c \frac{\sqrt{\pi}}{6} \left[ \Gamma(4) - \frac{5}{2} \Gamma(3) \right],$$

$$\mu_{\text{vis}}^{12} = \frac{\tau_a m_a}{\tau_{\text{vis}}} \lambda_{\text{vis}} L_c \frac{\sqrt{\pi}}{6} \left[ \Gamma(5) - 5\Gamma(4) + \frac{25}{4} \Gamma(3) \right],$$

where  $L_c^2 = \langle B^2 \rangle / \langle (\hat{n} \cdot \nabla B)^2 \rangle$  is the connection length.

The indirect calculation of the viscosity coefficients<sup>47, 48</sup> includes the effect of non-circular flux surface cross section (but is still restricted to  $\delta_B \ll 1$ ). The net effect of the more general cross section is to replace  $L_c$  in the above expressions for  $\mu_{\text{vis}}^{12}$  with the "effective" connection length

$$L_c^* = \frac{\langle B^2 \rangle^2}{\langle (\hat{n} \cdot \nabla B)^2 \rangle \langle \hat{B} \cdot \nabla \vartheta \rangle} \frac{2}{k} \left| \left\langle \frac{\hat{n} \cdot \nabla B}{B} \sin k \theta \right\rangle \left\langle \frac{\hat{n} \cdot \nabla B}{B^2} \sin k \theta \right\rangle \right|,$$

where  $\vartheta$  is the poloidal angle, and

$$\theta(\vartheta) = 2\pi \frac{\int_0^\vartheta d\vartheta'}{\int_0^{2\pi} \hat{n} \cdot \nabla \vartheta'} \frac{\int_0^\vartheta d\vartheta''}{\int_0^{2\pi} \hat{n} \cdot \nabla \vartheta''}.$$

### 3.3.2.3. Banana (Collisionless) Regime

In the collisionless regime, thermal trapped particles complete several bounce orbits before suffering a detrapping collision. This implies that  $\nu_{\text{th}} < 1/2$ , where

$$\nu_{\text{th}} \equiv \frac{L_c}{v_{\text{th}} \tau_a} \delta_B^{3/2}.$$

in order to compute the viscosity,  $\nu_a$  is taken as an expansion parameter for Eq. 3-8 and  $f_{a1}$ . The lowest order ( $\nu_a^0$ ) equation

$$\mathbf{v} \cdot \nabla (f_{a1}^{(0)} - F(\psi) f_{a0}^{(0)}) = 0,$$

where  $f_{a1} = f_{a1}^{(0)} + f_{a1}^{(1)} + \dots$ , gives the form of the lowest order solution<sup>49</sup>

$$f_{a1}^{(0)} = F \frac{v_{\parallel}}{v_a} f_{a0} + g_a(v, v_{\parallel}, \psi), \quad (3-27)$$

where  $g_a$  is an integration constant, to be determined. The next order ( $\nu_a^1$ ) equation is

$$\mathbf{v} \cdot \nabla (f_{a1}^{(1)} - v_{\parallel} \frac{e_a E_{\parallel}}{T_a} = C_a (f_{a1}^{(0)}, f_{a0}^{(0)}), \quad (3-28)$$

integrating over a bounce orbit (for both trapped and circulating particles) gives constraint equations for  $g_a$ . For circulating particles, taking  $<B/v_{\parallel} >$  of Eq. 3-28 gives

$$-\frac{e_a}{T_a} \langle \mathbf{B} \cdot \mathbf{E} \rangle = \frac{B}{v_{\parallel}} C_a \langle f_{a1}^{(0)}, f_{a0}^{(0)} \rangle. \quad (3-29a)$$

The solution to this equation has the form<sup>49,50</sup>

$$g_a = \frac{2V_{\parallel}(\lambda, v)}{v_a^2} C_a(v) f_{a0},$$

where  $\lambda = v^2 / (Bv^2)$  is the pitch angle, and

$$V_{\parallel}(\lambda, v) = \frac{v^2}{2} \langle B^2 \rangle \int_{\lambda}^{\lambda_c} \frac{d\lambda'}{\langle v_{\parallel}(\lambda') \rangle}.$$

For trapped particles, integrating Eq. 3-28 over a closed "banana" orbit gives

$$0 = \int \frac{d\lambda'}{v_{\parallel}} C_a(g_a, g_{a0}). \quad (3-29b)$$

which implies  $g_a = 0$ . Substituting these forms for  $g_a$  into Eq. 3-27, and taking  $v_{\parallel} L_{\parallel}^{(3/2)}$  moments of both sides, gives an expansion for  $g_a$

$$g_a = \frac{2V_{\parallel} H(\lambda_c - \lambda)}{v_a^2} \frac{\langle B^2 \rangle \kappa}{f_c} \{ u_{ga} - \frac{2}{5} \frac{g_{a0}}{T_a} L_{\parallel}^{(3/2)}(x_{a2}^2) \}, \quad (3-30)$$

where the expansion has been truncated after the first two terms.  $H$  is the Heaviside step function,  $\lambda_c = B \frac{v_{\parallel}}{v_a}$  is the largest pitch angle for circulating particles.

$$f_c = \langle B^2 \rangle \frac{3}{4} \int_0^{\lambda_c} \frac{\lambda' d\lambda'}{\langle v_{\parallel}(\lambda') \rangle}$$

is the fraction of circulating particles,  $f_c = 1 - f_e$ . Hirshman and Sigmar<sup>15</sup> then substitute this expression for  $g_a$  into Eq. 3-28, and using Eq. 3-29a obtain

$$v_{\parallel} \nabla (f_{a1}^{(1)}) = C_a(g_a, f_{a0}) - \frac{V_{\parallel} H(\lambda_c - \lambda)}{f_c} \frac{\langle B^2 \rangle \kappa}{B^2} \langle \frac{B}{v_{\parallel}} C_a(g_a, f_{a0}) \rangle.$$

Taking  $v_{\parallel} L_{\parallel}^{(3/2)}$  moments of this equation gives relations (like Eq. 3-12 for  $l=1$ ) between  $u_{ga}$ ,  $g_{a0}$ , and the viscous forces. They solve these to obtain (for a simple Hydrogen plasma):

$$\mu_{11}^{ee} = \frac{n_e m_e}{T_{ee}} L_c^2 \frac{f_e}{f_c} \frac{1}{3} [\sqrt{2} - \ln(1 + \sqrt{2}) + 1], \quad (3-31)$$

$$\mu_{12}^{ee} = \frac{n_e m_e}{T_{ee}} L_c^2 \frac{f_e}{f_c} \frac{1}{3} \left[ -\frac{4}{\sqrt{2}} + \frac{5}{2} \ln(1 + \sqrt{2}) - \frac{3}{2} \right],$$

$$\mu_{22}^{ee} = \frac{n_e m_e}{T_{ee}} L_c^2 \frac{f_e}{f_c} \frac{1}{3} \left[ \frac{.39}{4\sqrt{2}} - \frac{25}{4} \ln(1 + \sqrt{2}) + \frac{13}{4} \right],$$

$$\mu_{11}^{ii} = \frac{n_i m_i}{T_{ii}} L_c^2 \frac{f_i}{f_c} \frac{1}{3} [\sqrt{2} - \ln(1 + \sqrt{2})],$$

$$\mu_{12}^{ii} = \frac{n_i m_i}{T_{ii}} L_c^2 \frac{f_i}{f_c} \frac{1}{3} \left[ -\frac{4}{\sqrt{2}} + \frac{5}{2} \ln(1 + \sqrt{2}) \right],$$

$$\mu_{22}^{\pm} = \frac{\tau_4 \tau_4}{\tau_H} L_c^2 \frac{f_c}{f_c} \frac{1}{3} \left[ \frac{39}{4\sqrt{2}} - \frac{25}{4} \ln(1 + \sqrt{2}) \right],$$

where terms of order  $(\tau_4/\tau_H)^{3/2}$  have been dropped.

### 3.3.2.4. Viscosity Coefficient Smoothing

The viscosity coefficients derived above are only valid in the appropriate asymptotic collisionality regime. Approximate coefficients for arbitrary thermal collision frequency, may be obtained<sup>51,48,15</sup> by piecing together the asymptotic solutions for  $f_{a1}$ , using each asymptotic solution for those portions of the approximate  $f_{a1}$  where the local (in  $v$ ) collision frequency obeys the appropriate constraints (see section 3.3). For instance, using Eq. 3-16, the approximate parallel viscous force is

$$\langle \mathbf{b} \cdot \nabla \cdot \mathbf{A}_a \rangle = -\langle 2T_a (\mathbf{A} \cdot \nabla B) \left\{ \int_0^{v_P} x_a^2 L_0^{(5/2)} P_2^P f_{a1}^{PS} d\mathbf{v} \right. \\ \left. + \int_{v_P}^{v_B} x_a^2 L_0^{(5/2)} P_2^P f_{a1}^P d\mathbf{v} + \int_{v_B}^{v_B} x_a^2 L_0^{(5/2)} P_2^B f_{a1}^B d\mathbf{v} \right\} \rangle, \quad (3-32)$$

where  $f_{a1}^{PS}$ ,  $f_{a1}^P$ ,  $f_{a1}^B$  are the perturbed distribution functions in the Pfirsch-Schlüter, plateau, and banana regimes, respectively,  $v_P(v_{T_a})$  is the velocity-boundary between the Pfirsch-Schlüter and plateau regime portions of  $f_a$ , and  $v_B(v_{T_a})$  is the velocity-boundary between plateau and banana regimes. Such a partition of the distribution function is only reasonable to the extent that pitch-angle scattering dominates the rest of the collision operator, and thus the different energy sections of  $f_a$  are not coupled through  $C_a$  (and thus are not coupled in the DKE).

Rather than evaluate Eq. 3-32, and its analogue for  $\langle \mathbf{b} \cdot \nabla \cdot \mathbf{b} \rangle$ , Hirshman and Sigmar provide, ad hoc, two methods to directly smooth the viscosity coefficients.<sup>15</sup> These have the advantage of preserving the symmetry  $\mu_{12}^{\pm} = \mu_{21}^{\pm}$  which is generally destroyed in equations of the form of Eq. 3-32. Their "more exact method" is applicable only to small magnetic modulation geometries ( $\delta_B \ll 1$ ), and is obtained by "identifying"<sup>48</sup> a form for the viscosity coefficients that give the appropriate asymptotic values in the asymptotic limits. It uses a smoothed collision frequency, obtained from a simple rational combination (as in Eq. 3-34, below) of effective collision frequencies for each regime.

The other method, employed here, approximates each viscosity coefficient by a rational combination of the asymptotic coefficients, giving a smooth transition from one regime to another. Since some of the coefficients change sign from one regime to another (e.g.,  $\mu_{12}^{\pm}$ ), a positive-definite viscosity coefficient matrix<sup>15</sup> must be employed,

$$K_{11}^{\pm} = \mu_{11}^{\pm}, \\ K_{12}^{\pm} = \mu_{12}^{\pm} + \frac{5}{2} \mu_{11}^{\pm}, \\ K_{22}^{\pm} = \mu_{22}^{\pm} + 5 \mu_{12}^{\pm} + \frac{25}{4} \mu_{11}^{\pm}. \quad (3-33)$$

Using this matrix, the smoothed form is<sup>15</sup>

$$K_{ij}^{\pm}(v_{T_a}) = \frac{K_{ij}^{\pm PS} K_{ij}^{\pm P} K_{ij}^{\pm B}}{[K_{ij}^{\pm PS} + K_{ij}^{\pm P}] [K_{ij}^{\pm P} + K_{ij}^{\pm B}]}, \quad (3-34)$$

where  $K_{ij}^{\pm PS}(v_{T_a})$ ,  $K_{ij}^{\pm P}(v_{T_a})$ , and  $K_{ij}^{\pm B}(v_{T_a})$  are the coefficient evaluated as if in the asymptotic Pfirsch-Schlüter, plateau, and banana regimes, respec-

tively.

### 3.3.3. Calculation of Currents

Substituting Eqs. 3-20, 3-22, and 3-23 into the flux surface averaged flux balance equations, Eqs. 3-15 and 3-17, gives the coupled equations

$$\begin{aligned} 3\langle(\vec{n}\cdot\nabla B)^2\rangle(\mu_{11}^{00}u_{\phi 0} + \mu_{12}^{00}\frac{2}{5}\frac{q\phi_0}{P_e}) & \quad (3-35) \\ = l_{11}^0\left[(u_{\phi 1}-u_{\phi 0})\langle B^2\rangle - \frac{F}{eB}\left(\frac{P_i}{n_i} + \frac{P_e}{n_e}\right)\right] \\ + l_{12}^0\left[\frac{2}{5}\frac{q\phi_0}{P_e} + \frac{F}{eB}T_e\right] - n_e e \langle \vec{B}\cdot\vec{E} \rangle, \end{aligned}$$

$$\begin{aligned} 3\langle(\vec{n}\cdot\nabla B)^2\rangle(\mu_{11}^{01}u_{\phi 1} + \mu_{12}^{01}\frac{2}{5}\frac{q\phi_1}{P_i}) & \\ = -l_{11}^0\left[(u_{\phi 1}-u_{\phi 0})\langle B^2\rangle - \frac{F}{eB}\left(\frac{P_i}{n_i} + \frac{P_e}{n_e}\right)\right] \\ - l_{12}^0\left[\frac{2}{5}\frac{q\phi_0}{P_e} + \frac{F}{eB}T_e\right] + n_e e \langle \vec{B}\cdot\vec{E} \rangle, \end{aligned}$$

$$\begin{aligned} 3\langle(\vec{n}\cdot\nabla B)^2\rangle(\mu_{21}^{00}u_{\phi 0} + \mu_{22}^{00}\frac{2}{5}\frac{q\phi_0}{P_e}) & \\ = -l_{21}^0\left[(u_{\phi 1}-u_{\phi 0})\langle B^2\rangle - \frac{F}{eB}\left(\frac{P_i}{n_i} + \frac{P_e}{n_e}\right)\right] \\ - l_{22}^0\left[\frac{2}{5}\frac{q\phi_0}{P_e} + \frac{F}{eB}T_e\right], \end{aligned}$$

$$3\langle(\vec{n}\cdot\nabla B)^2\rangle(\mu_{21}^{01}u_{\phi 1} + \mu_{22}^{01}\frac{2}{5}\frac{q\phi_1}{P_i}) = -l_{22}^0\left[\frac{2}{5}\frac{q\phi_0}{P_e} - \frac{F}{eB}T_e\right],$$

where the field particle terms have been ignored in the expression for the viscosities, and terms of order  $(m_e/m_i)^{1/2}$  have been neglected in the fric-

tion forces. Thus, we are left with four linear equations in four unknowns ( $u_{\phi 1}$ ,  $q\phi_1$ ,  $u_{\phi 0}$ , and  $q\phi_0$ ). These are inverted (best by a computer, e.g., SMP, Reduce, or MACSYMA) and combined with Eq. 3-1, using  $n \equiv n_e \approx n_i$  and  $K(\psi) = n_e(u_{\phi 1} - u_{\phi 0})$ , to give a direct expression for the parallel current

$$\begin{aligned} j_{\parallel} = \frac{Fp'}{B} - \frac{FBp}{\langle B^2 \rangle} \left[ \frac{p'}{p}(1 - \hat{L}_{31}) - \frac{T_e}{T} \hat{L}_{32} - \frac{T_i}{T} \hat{L}_{32}' \right] \\ + \sigma_{NC} \langle \vec{B}\cdot\vec{E} \rangle, \end{aligned} \quad (3-36)$$

where  $p \equiv p_e + p_i$ ,  $T \equiv T_e + T_i$ , and the  $\hat{L}_{ij}$  are normalized transport coefficients, elements of the Onsager matrix, relating the current (flux of charge) to the various thermodynamic forces. These coefficients are given by

$$\hat{L}_{31} = \frac{\hat{\mu}_{11}^0(l_{12}^0 + \hat{\rho}_{22}^0) + \hat{\rho}_{12}^0(l_{12}^0 - \hat{\rho}_{12}^0)}{(\hat{\rho}_{11}^0 + l_{11}^0)(l_{12}^0 + \hat{\rho}_{22}^0) - (l_{12}^0 - \hat{\rho}_{12}^0)^2}, \quad (3-37)$$

$$\hat{L}_{32} = \frac{\hat{\rho}_{12}^0(l_{12}^0 + \hat{\rho}_{22}^0) + \hat{\rho}_{22}^0(l_{12}^0 - \hat{\rho}_{12}^0)}{(\hat{\rho}_{11}^0 + l_{11}^0)(l_{12}^0 + \hat{\rho}_{22}^0) - (l_{12}^0 - \hat{\rho}_{12}^0)^2},$$

$$\hat{L}_{32}' = \hat{L}_{31} \frac{l_{12}^0 \hat{\rho}_{12}^0}{\hat{\rho}_{11}^0(l_{12}^0 + \hat{\rho}_{22}^0) - (\hat{\rho}_{12}^0)^2},$$

$$\sigma_{NC} = (n_e)^2 \frac{l_{12}^0 + \hat{\rho}_{22}^0}{(\hat{\rho}_{11}^0 + l_{11}^0)(l_{12}^0 + \hat{\rho}_{22}^0) - (l_{12}^0 - \hat{\rho}_{12}^0)^2},$$

where  $\hat{\rho}_{ij}^0 \equiv \mu_{ij}^{00} 3\langle(\vec{n}\cdot\nabla B)^2\rangle / \langle B^2 \rangle$ , and terms of order  $(\frac{m_e}{m_i})^{1/2} \sim \frac{l_{12}^0}{l_{22}^0} \sim \frac{\mu_{ij}^{0k}}{l_{ij}^0} \sim \frac{\mu_{jk}^0}{\mu_{ij}^0}$  have

been dropped. The ohmic-current term is often written as

$$\sigma_{NC} \langle \vec{B} \cdot \vec{E} \rangle = (\sigma_S - \tilde{L}_{33}) \langle \vec{B} \cdot \vec{E} \rangle,$$

where

$$\tilde{L}_{33} = \sigma_S (\tilde{L}_{31} + \frac{l_{12}^0}{l_{11}^0} \tilde{L}_{32}).$$

Note that  $\tilde{L}_{31} \leq 1$ . In the collisional limit,  $\tilde{L}_{31} \rightarrow 0$  leaving the Pfirsch-Schlüter current. The terms proportional to  $\tilde{L}_{31}$ ,  $\tilde{L}_{32}$ , and  $\tilde{L}_{33}$  are the bootstrap current.

If the cross coefficients ( $l_{12}^0$  and  $\mu_{12}^0$ ) coupling the heat-flows to the momentum forces ( $\vec{n} \cdot \vec{R}_a$  and  $\vec{n} \cdot \nabla \cdot \vec{H}_a$ ) are set to zero, decoupling the heat flows from the problem, giving

$$\tilde{L}_{31} \approx \frac{\mu_{11}^0}{\mu_{11}^0 + l_{11}^0}, \quad (3-38)$$

$$\tilde{L}_{32} \approx \tilde{L}_{33} \approx 0.$$

These may also be obtained from Eq. 3-6 (the simplified calculation of section 3.2) using the form of the viscosity, Eq. 3-23.

The portion of the current carried by the ions is given by

$$j_{\text{ion}} = (\mu_1' + \pi e \Phi) \frac{F}{B} + \sigma_{NC} \langle \vec{B} \cdot \vec{E} \rangle - \frac{FB\mu_1'}{\langle B^2 \rangle} \left[ \frac{p'}{p} (1 - \tilde{L}_{31}) - \frac{T_e'}{T} \tilde{L}_{32} - \frac{T_e'}{T} \tilde{L}_{33} \right], \quad (3-39)$$

where the ion parallel transport coefficients are

$$(1 - \tilde{L}_{31}) = L_1 \frac{l_{11}^0 \mu_{12}^0 + l_{12}^0 \mu_{11}^0 - l_{11}^0 \mu_{11}^0 (l_{12}^0 + \mu_{12}^0)}{(\mu_{11}^0 + l_{11}^0) (l_{12}^0 + \mu_{12}^0)} - (l_{12}^0 - \mu_{12}^0)^2, \quad (3-40)$$

$$\tilde{L}_{32} = L_1 \frac{l_{12}^0 \mu_{12}^0 - l_{12}^0 \mu_{12}^0 + l_{11}^0 \mu_{12}^0 l_{12}^0 - \mu_{11}^0 l_{12}^0 \mu_{12}^0}{(\mu_{11}^0 + l_{11}^0) (l_{12}^0 + \mu_{12}^0)} - (l_{12}^0 - \mu_{12}^0)^2.$$

$$\tilde{L}_{33} = \frac{l_{12}^0 \mu_{12}^0}{\mu_{11}^0 (l_{12}^0 + \mu_{12}^0) - \mu_{12}^0 l_{12}^0}.$$

$$\sigma_{NC} = (\pi e)^2 L_1 \tilde{L}_{31}, \text{ and}$$

$$L_1 = \frac{(l_{12}^0 + \mu_{12}^0)}{\mu_{11}^0 (l_{12}^0 + \mu_{12}^0) - \mu_{12}^0 l_{12}^0}.$$

The first term in Eq. 3-39 ensures ion continuity in the presence of the ion diamagnetic and  $\vec{E} \times \vec{B}$  drifts. The ion parallel transport coefficients, excepting  $\tilde{L}_{33}$ , are seen to be smaller than the corresponding total-current parallel transport coefficients, by a factor of order  $(\pi n_e / \pi_1)^{1/2}$ . To this order

$$j_{\text{ion}} = (\mu_1' + \pi e \Phi) \frac{F}{B} + \frac{FB}{\langle B^2 \rangle} n_1 T_e' \tilde{L}_{33}.$$

Thus, for  $T_e' = 0$ ,  $\tilde{L}_{31} \approx 1$  and it is as if the ion bootstrap current were maximal. Comparing with Eq. 3-22, this implies that the poloidal ion flow velocity is negligible (except for the term proportional to  $T_e'$ ) when compared to the poloidal electron flow velocity, or the toroidal ion flow velocity. The ion poloidal velocity is suppressed, due to the large ion viscosity coefficients.<sup>32</sup>

### 3.4. Calculation of Octupole Currents

The expected parallel current level in the octupole is calculated using numeric flux plots calculated by the program SOAK<sup>21,22</sup> which includes the time-dependent distortion of the flux surfaces due to the finite-resistivity of the boundary conductors. The flux plots for 20 msec

and 25 msec (into the inductive magnetic field pulse) are used to compare with experiment, as these best correspond to times of experimental interest. Individual flux surfaces are interpolated from the flux plots, generating a data base for the calculation of flux surface integrals and local current levels. A comparison between calculated and experimentally measured  $B_P$  and  $B_T$ , along the  $\psi = 6.5$  flux surface, is shown in Fig. 3-3.

Figs. 3-4 and 3-5 show the variation of the field modulation,  $\delta_B$ , and the circulating particle fraction,  $f_c$ , across the flux surfaces for typical magnetic fields used in the experiments. The separatrix,  $\psi_{SP}$ , is at  $\psi = 5.7$ , and the last minimum-average- $B$  stable flux surface,  $\psi_{O4}$ , is at  $\psi = 6.2$ . Due to the very small circulating particle fraction, especially for the outer flux surfaces ( $\psi > \psi_{SP}$ ), the plateau regime is effectively absent (as  $f_i \approx 1$ , see the discussion of the collisionality regimes in section 3.3.2). The plateau regime will not be included in the calculation for these outer flux surfaces, and thus the smoothing expression used (instead of Eq. 3-34) is

$$K_{\psi}^{\alpha}(\nu_{T0}) = \frac{K_{\psi}^{\alpha} PS K_{\psi}^{\alpha} B}{K_{\psi}^{\alpha} PS + K_{\psi}^{\alpha} B}. \quad (3-41)$$

Fig. 3-6 shows the computed dependence of  $(1 - \hat{L}_{31})$  on  $\lambda_{**}$  for  $\psi = 6.5$ , from Eq. 3-37. Included are curves calculated using the asymptotic viscosity coefficients for the fluid and banana regimes, as well as the smoothed coefficients, if the heat flows are neglected in the calculation

of  $j_{||}$ , and thus Eq. 3-38 used to calculate  $(1 - \hat{L}_{31})$ , Fig. 3-7 results. Note that the inclusion of the heat flows does not significantly alter the collisionality dependence of the currents. Thus, it is not expected that terms related to the next order flow ( $v_{a2}$ ) would contribute significantly to the calculation. This justifies the truncation of all Laguerre polynomial expansions after two terms.

The relatively weak variations of  $(1 - \hat{L}_{31})$  with  $B_T$  and  $\psi$  are shown in Figs. 3-8 and 3-9. Almost all of the expected variation of  $j_{||}$  with  $B_T$  is thus due to the overall factor of  $F = R B_T$  in Eq. 3-39.

The fraction of poloidal current carried by the ions (due to  $P$ ) is shown in Fig. 3-10. As expected, due to the high ion viscosity, the ions carry almost none of the poloidal current, and basically do not rotate poloidally. Note that this holds true even as  $\lambda \rightarrow \rho$ , where this theoretical treatment becomes invalid. Figs. 3-11 and 3-12 show the variation of  $\hat{L}_{32}$  and  $\hat{L}_{32}'$  (coefficients coupling the parallel current to the perpendicular temperature gradients) with  $\lambda_{**}$ . For the plasmas studied, typically  $\frac{P'}{P} \gg \frac{T'_e}{T} \approx \frac{T'_i}{T}$  (see section 2.4). Thus these coefficients are usually not important for this experiment.

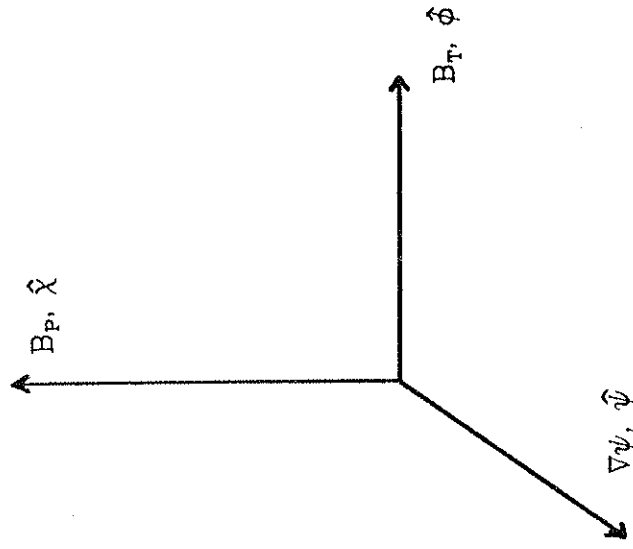


Fig. 3-1. Coordinate system used.  $\hat{\phi}$  and  $\hat{X}$  are tangent to the flux surface, in the toroidal and poloidal directions, respectively.  $\hat{\psi}$  is perpendicular to the flux surface.



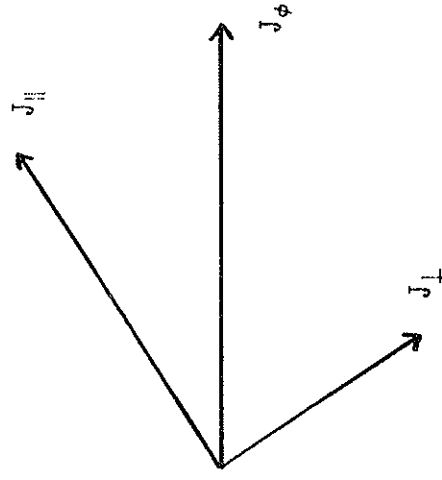


Fig. 3-2. When the neoclassical viscosity is large, the plasma generates whatever parallel current necessary so that the total current is toroidal.

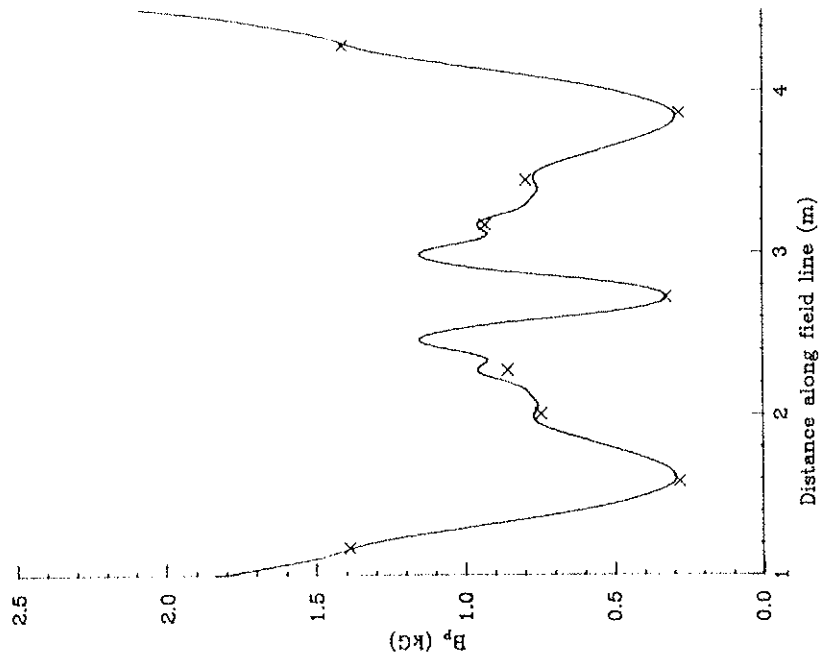
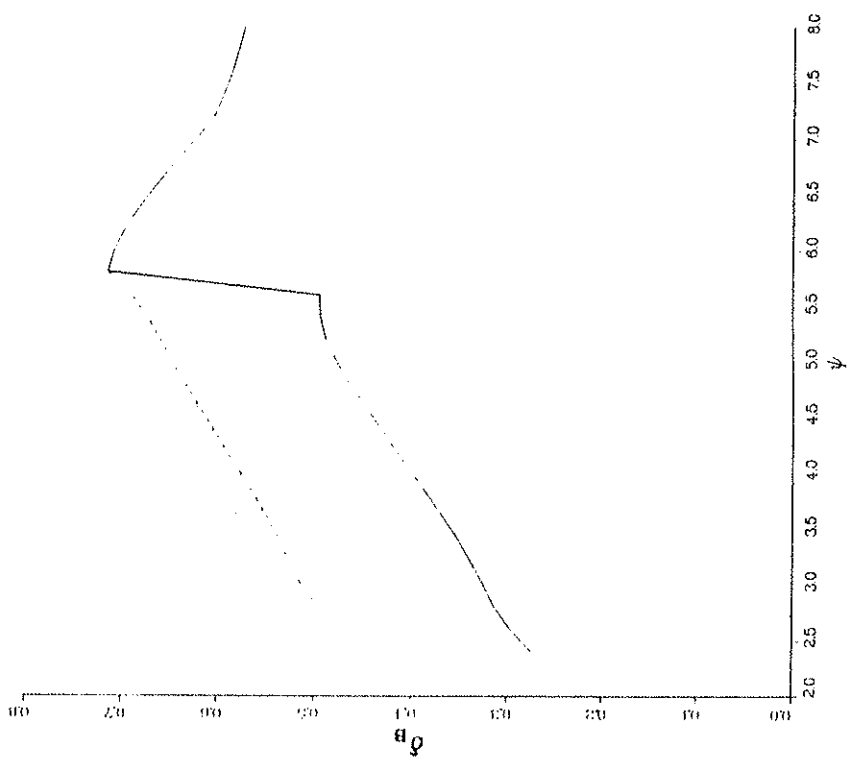


Fig. 3-3. Measured (points) and calculated (curve)  $B_p$  for around  $\psi = 7.0$ .

Fig. 3-4. Profile of  $\delta_B$ , the magnetic modulation, for  $B_T = 400$  G on axis. The dotted curve is for the inner flux surfaces of the inner hoops.



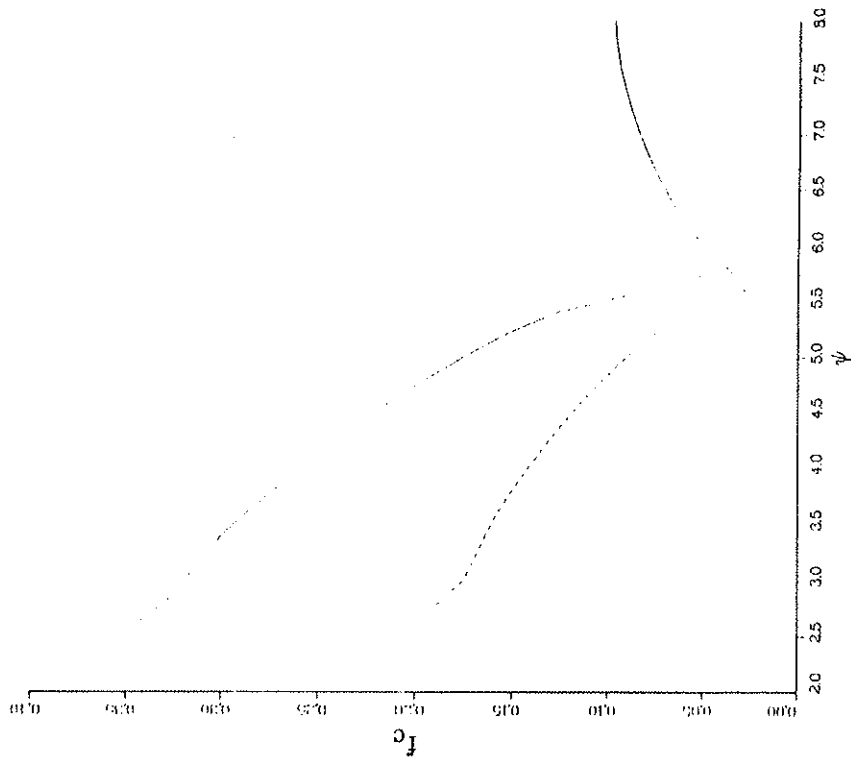
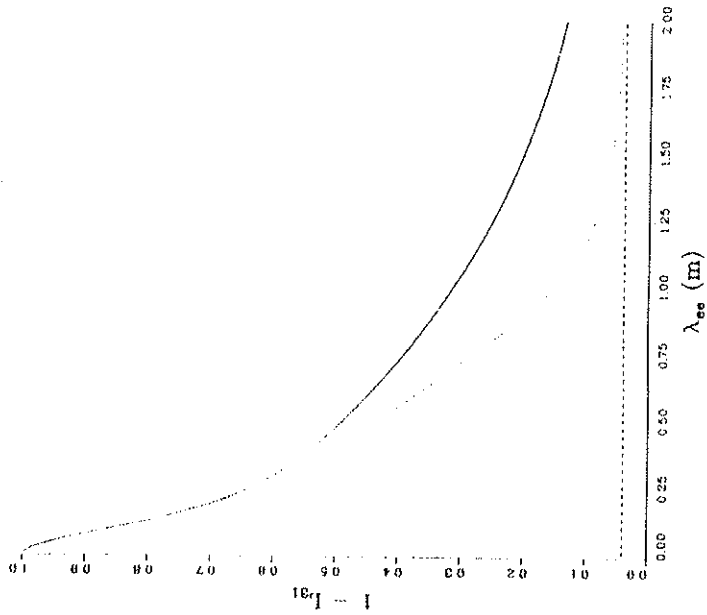


Fig. 3-5. Profile of  $f_c$ , the circulating particle fraction, for  $B_T = 400$  G on axis. The dotted curve is for the inner flux surfaces of the inner hoops.

Fig. 3-6. Calculated  $(1 - \bar{L}_3)$ , from Eq. 3-37, versus  $\lambda_{ee}$ , the mean free path for  $\psi = 6.5$ . The solid curve is calculated using the fluid form for  $\mu_{jk}^{ee}$  (Eq. 3-24), the dashed line uses the collisionless form (Eq. 3-31), and the dotted curve uses the smoothed coefficients (Eq. 3-41).



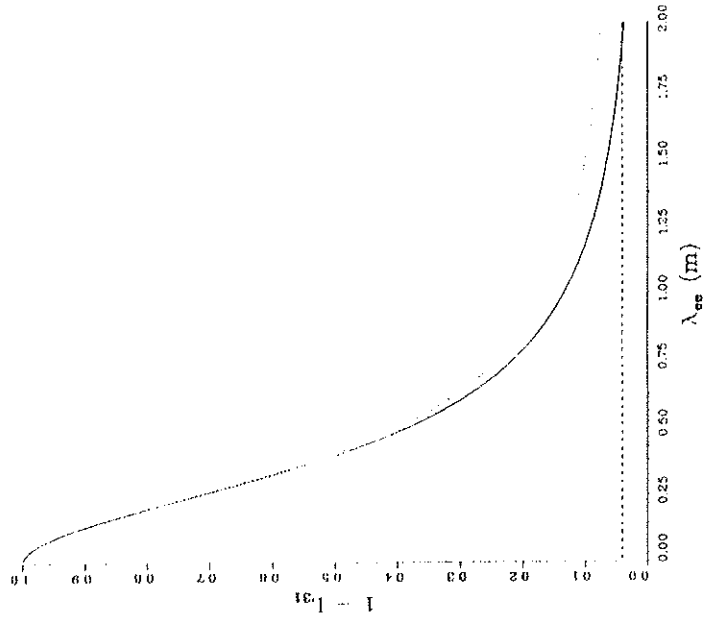
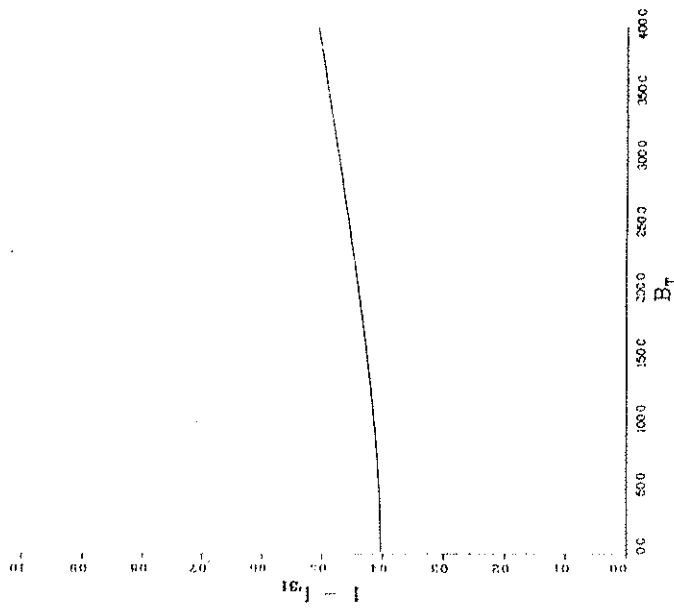


Fig. 3-7. Same as Fig. 3-6, but using Eq. 3-3 and thus ignoring the coupling to heat flow terms.

Fig. 3-8. Dependence of calculated  $(1 - \bar{L}_{31})$  on  $B_T$  for  $\psi = 6.5$ ,  $\lambda_{\text{eff}} = 0.5 \text{ m}$ .



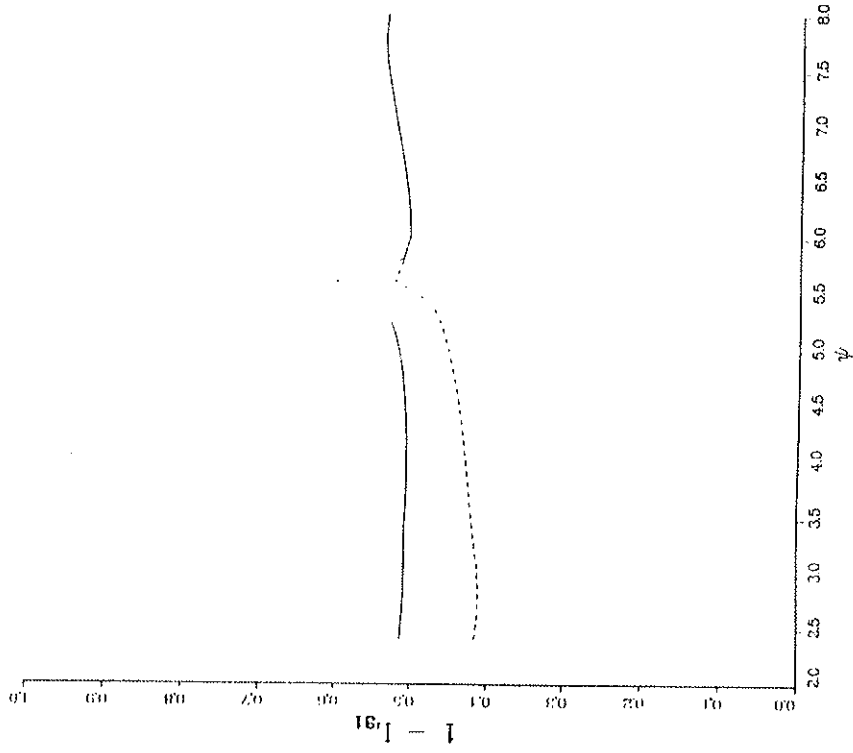
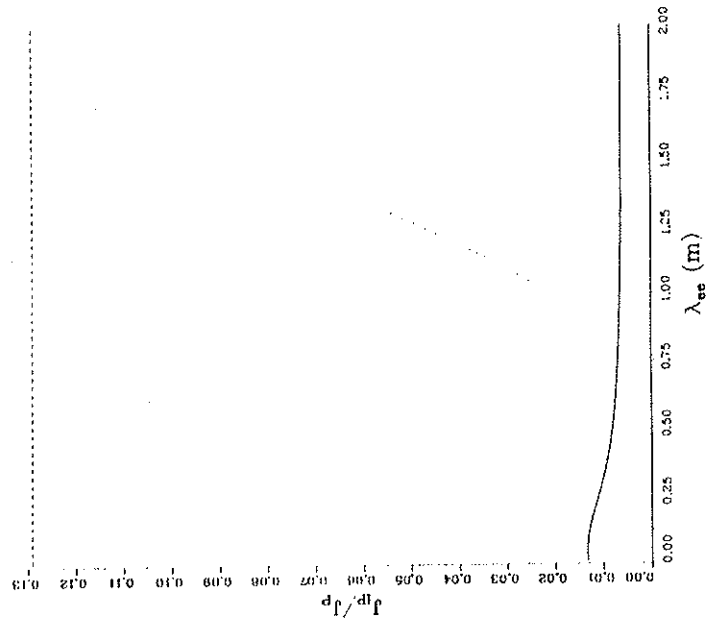


Fig. 3-9. Profile of calculated  $(1 - L_{31})$  for  $\lambda_{qs} = 0.5 m$ .



Fig. 3-10. Fraction of poloidal current carried by ions.



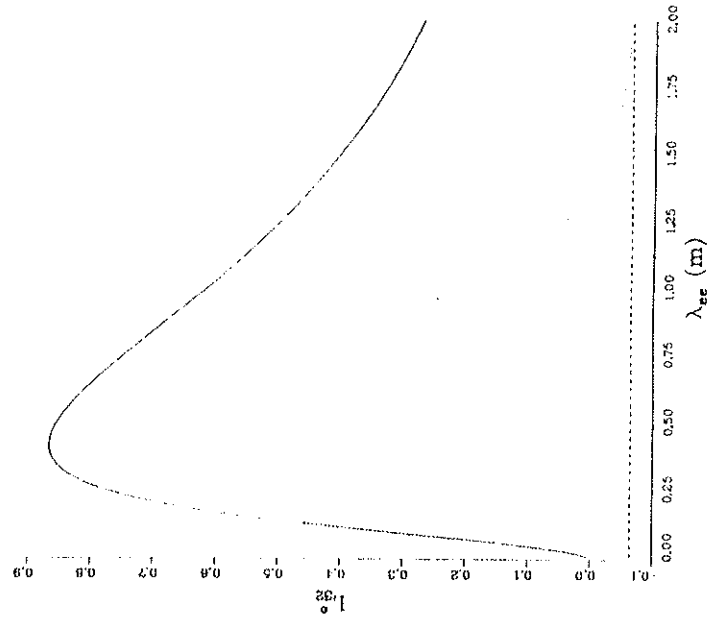


Fig. 3-11. Calculated  $\tilde{L}_{32}$  as a function of  $\lambda$ , for  $B_T = 400 G$  on axis. Different curves are for different forms of  $\mu_{32}^0$ , as in Fig. 3-6.