Laboratory verification of electron-scale reconnection 1 regions modulated by a three-dimensional instability 2

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Key Points:

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8	•	The structure of the electron diffusion region is important to reconnection in space
9		plasma
10	•	The widths of laboratory electron reconnection layers match those of kinetic sim-
11		ulations
12	•	Kinetic simulations show that the electron pressure tensor breaks the electron frozen
13		flux condition

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14 Abstract

During magnetic reconnection in collisionless space plasma, the electron fluid decouples 15 from the magnetic field within narrow current layers, and theoretical models for this pro-16 cess can be distinguished in terms of their predicted current layer widths. From theory, 17 the off-diagonal stress in the electron pressure tensor is related to thermal non-circular 18 orbit motion of electrons around the magnetic field lines. This stress becomes significant 19 when the width of the reconnecting current layer approaches the small characteristic length 20 scale of the electron motion. To aid in situ spacecraft and numerical investigations of 21 reconnection, the structure of the electron diffusion region is here investigated using the 22 Terrestrial Reconnection EXperiment (TREX). In agreement with the closely matched 23 kinetic simulations, laboratory observations reveal the presence of electron-scale current 24 layer widths. Although the layers are modulated by a current-driven instability, 3D sim-25 ulations demonstrate that it is the off-diagonal stress that is responsible for breaking the 26 frozen-in condition of the electron fluid. 27

²⁸ Plain Language Summary

"Space weather" describes the conditions of the plasma surrounding Earth, which 29 can have severe impact on the functionality of spacecraft as well as the health of human 30 space travelers. Space weather and the dynamics of space plasmas in general are closely 31 linked to the structure and topology of the magnetic fields permeating our solar system. 32 33 By a process called magnetic reconnection, magnetic field lines can rapidly and suddenly break and reconfigure their connectivity, allowing for an explosive release of magnetic 34 energy. This phenomenon is at the origin of explosive events such as solar flares and is 35 the driver of magnetic storms in the Earth's magnetosphere powering the Auroras. 36

We present new laboratory observations of this near-Earth reconnection process 37 recreated in the Terrestrial Reconnection Experiment (TREX). The experiment provides 38 detailed measurements of the width of the region where the magnetic field lines break, 39 the electron diffusion region (EDR). Consistent with supercomputer simulations of re-40 connection, the width of the EDR is measured to be set by the fine spatial scale of the 41 electron orbit motions. As such, the observations provide renewed evidence that the re-42 connection process is mediated by forces of thermal stress related to the electron mo-43 tion within the reconnection region. 44

45 **1** Introduction

Magnetic reconnection (Dungey, 1953) is the process of changing the topology of 46 magnetic field lines in the presence of a plasma, often permitting an explosive release of 47 magnetic energy. Well-known examples include solar flares (Priest & Forbes, 2000) and 48 auroral substorms in the Earth's magnetosphere (Vasyliunas, 1975). Although reconnec-49 tion often governs the global dynamics of plasma systems, the reconnection process oc-50 curs in localized electron diffusion regions (EDRs), where the motion of the electron fluid 51 decouples from the magnetic field, breaking the frozen-in law of magnetohydrodynam-52 ics. The origin of this process in the collisionless regime, where conventional resistive fric-53 tion is absent, remains controversial. For example, laminar kinetic models predict that the EDRs are characterized by intense current layers with widths as narrow as the ki-55 netic scales associated with the electron orbit motion (Vasyliunas, 1975; Pritchett, 2001). 56 In other models, the scattering of electrons by electric field fluctuations associated with 57 high-frequency instabilities is proposed to widen the current layers and enhance the anoma-58 lous transfer of momentum from the electrons to the ions (Papadopoulos, 1977; Huba 59 et al., 1977; Hoshino, 1991). 60

⁶¹ Significant insight into reconnection physics is provided by fully kinetic numerical ⁶² models. In 3D configurations it has been argued that turbulence can cause local suppres-

sion of the effective conductivity (Silin et al., 2005; Che et al., 2011; Muñoz et al., 2017), 63 but other simulation studies have reported these effects are relatively small in both low-64 β parameter regimes (Liu et al., 2013) relevant to solar physics and for higher- β regimes 65 with asymmetric layers relevant to the magnetosphere (Roytershteyn et al., 2012; Hesse 66 et al., 2018; Le et al., 2018). Rather, these 2D and 3D kinetic models typically suggest 67 that fast reconnection can be mediated by electron inertia, and terms in the electron pres-68 sure tensor (Speiser, 1965; Vasyliunas, 1975; Lyons & Pridmore-Brown, 1990; Horiuchi 69 & Sato, 1994; Cai & Lee, 1997; Kuznetsova et al., 1998). These effects require the for-70 mation of intense electron current channels with widths characterized by either the elec-71 tron inertial length $d_e = c/\omega_{pe}$ or the electron orbit scale (Roytershteyn et al., 2013). 72

To observationally address this issue, a primary goal of NASA's Magnetospheric 73 Multiscale (MMS) Mission is to characterize the structures of EDRs for reconnection sites 74 in the Earth's magnetosphere (Burch, Moore, et al., 2016). However, the *in situ* obser-75 vations have not as yet provided conclusive insight to the role of anomalous resistivity. 76 For example, the initial magnetotail observations are consistent with laminar kinetic re-77 connection (Torbert et al., 2018; Egedal et al., 2019; Genestreti et al., 2018; T. K. M. Naka-78 mura et al., 2018). Meanwhile, for a magnetopause reconnection layer crossing (Burch, 79 Torbert, et al., 2016) evidence for anomalous resistivity was identified near an EDR (Torbert 80 et al., 2016), but a separate analysis concluded the electron dynamics were in agreement 81 with a 2D kinetic model (without anomalous resistivity) (Egedal et al., 2018). 82

Dedicated laboratory experiments can provide complementary methods to study 83 EDRs. Contrary to spacecraft measurements, laboratory experiments allow the controlled 84 and reproducible study of reconnection layers with well understood upstream conditions 85 and magnetic geometry. Results from the Magnetic Reconnection Experiment (MRX) 86 at Princeton find that the current layer widths are much wider (by approximately a fac-87 tor of four) compared to the predictions by kinetic models (Ji et al., 2008). This disagree-88 ment (Dorfman et al., 2008) remains unresolved as it persists even when accounting for 89 collisions (Roytershteyn et al., 2010), and 3D instabilities (Roytershteyn et al., 2013). 90

In this paper, we report on experimental and numerical investigations of the EDR 91 width using the Terrestrial Reconnection EXperiment (TREX) at the University of Wisconsin-92 Madison (Forest et al., 2015; Olson et al., 2016, in press, 2021). While TREX and MRX 93 both have similar normalized system sizes, $\simeq 10c/\omega_{pi}$ where ω_{pi} is the ion plasma fre-94 quency, TREX is physically larger and operates at lower plasma density and a more col-95 lisionless regime (Lundquist number, $S \simeq 10^4$), where anisotropic and non-gyrotropic 96 electron pressure tensor effects can begin to develop around the EDR (Le et al., 2015). 97 Furthermore, the TREX experiment applies a unique jogging method, where the recon-98 nection layer is swept across magnetic sensors, yielding high spatial resolution measure-99 ments of the magnetic structures. To elucidate the experimental findings, fully kinetic 100 2D and 3D simulations were performed with plasma profiles and reconnection drives that 101 are comparable to the experiment. 102

¹⁰³ 2 The Terrestrial Reconnection EXperiment (TREX)

The applied TREX configuration is presented by the engineering schematic in Fig. 1(a). 104 The vacuum vessel, provided by the Wisconsin Plasma Physics Laboratory (WiPPL) (Forest 105 et al., 2015), is a 3 meter diameter sphere that uses an array of permanent magnets em-106 bedded in the chamber wall to limit the plasma loss area to a very narrow fraction of 107 the total surface area while keeping the bulk of the plasma unmagnetized. The setup in-108 cludes a set of internal drive coils and an exterior Helmholtz coil that provides a near-109 uniform axial magnetic field with a magnitude up to 100mT (Olson et al., 2016, in press, 110 2021). The current through the three internal drive coils (purple) ramps up to create a 111 magnetic field that opposes and reconnects with the background Helmholtz field, result-112 ing in an anti-parallel magnetic configuration (e.g. no significant guide field). The plasma 113

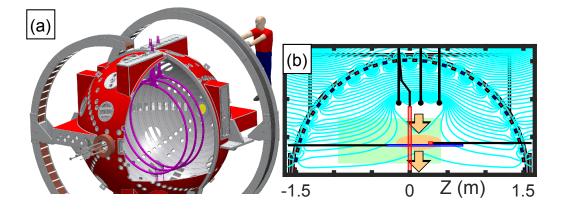


Figure 1. (a) Engineering sketch of TREX. The internal drive coils (purple) drive a magnetic field that opposes the external Helmholtz coil's field. The plasma source is a polar array of plasma guns (yellow). (b) A cross-section of the top half of the TREX vessel showing a theoretical example of the typical experimental geometry. The magnetic field lines are shown in cyan. The reconnection region (light orange) is driven down from the drive coils to the central axis, as indicated by the arrows. The layer is measured during this transit by the three probes shown: the 3-axis linear $\dot{\mathbf{B}}$ probe array (blue), the speed probe (long red), and the multi-tip Langmuir temperature/density probe, known as the T_e probe (short red). The hook probe, another array of 3-axis $\dot{\mathbf{B}}$ probes can be scanned through the shaded green area, allowing for the compilation of data from multiple experimental shots. These probes operate on sampling frequencies on the order of 10MHz, while the elapsed time between the layer's generation and its arrival at the central axis is on the order of 20ps

source is a set of plasma guns located at the machine's pole (shown in yellow). TREX can operate in hydrogen, deuterium and helium plasmas; results presented in this paper will focus on hydrogen and deuterium. As will be described in the following sections, the interpretation of the experimental results are aided by 2D simulations at the full hydrogen/electron mass ratio ($m_i/m_e = 1836$). For numerical tractability, 3D simulations were implemented with a mass ratio of $m_i/m_e = 400$.

In the planar cut of TREX shown in Fig. 1(b), the cyan lines are theoretically-derived 120 magnetic flux contours meant to illustrate the typical magnetic geometry of an exper-121 imental run. As the current through the drive coils ramps up, the reconnection region 122 is pushed from underneath the drive coils radially inward (orange arrows in Fig. 1(b)). 123 During this transit, the reconnection layer "jogs" past the electrostatic and magnetic probes. 124 Given the near constant speed of the reconnection layer, this facilitates high spatial res-125 olution measurements of the entire layer geometry over the course of a single experimen-126 tal shot; this type of measurement is referred to as the jogging method. These probes 127 and their locations are represented by the blue and red rectangles in Fig. 1(b). In ad-128 dition to these jogging method probes, a different array of 3-axis $\hat{\mathbf{B}}$ probes can be moved 129 between shots, allowing for the creation of multi-shot datasets. The coverage area of this 130 probe is given by the light green rectangle in Fig. 1(b). By compiling data from multi-131 ple shots taken at different location, this probe provides information about the recon-132 nection geometry without relying on the jogging method. 133

An example of data collected from a typical set of experimental shots is provided in Fig. 2, where Fig. 2(a-b) shows data from 34 shots combined into one picture; for each shot, the hook probe is at a different position within the green region in Fig. 1(b). The

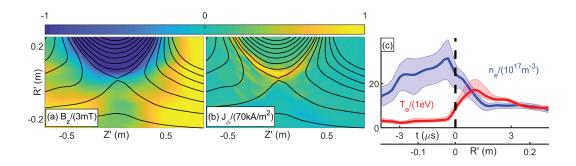


Figure 2. Example of experimental data. Plots (a) and (b) show the data from the hook probe recorded in a scan including 34 different probe positions covering the green region in Fig. 1(b). The black lines are contours of the flux function, Ψ , which map to the magnetic field lines. (a) shows the reconnecting magnetic fields, and (b) shows the out-of-plane current layer. (c) shows data from the T_e probe (short red in Fig. 1(b)); the shaded regions represent the 95% confidence interval for the values of density and temperature based on the fit of the probe's IV curve. The lower R side of the layer is closer to the plasma sources and thus has a higher density than the other side of the layer. There is a jump in the plasma temperature when the layer passes the probe. The data in (c) is compiled using the jogging method to convert the time signal into a measurement of the R-coordinate.

¹³⁷ black lines are contours of the flux function Ψ to illustrate the in-plane magnetic field ¹³⁸ lines. Fig. 2(c) shows the temperature and density data measured by the T_e probe. Note ¹³⁹ that in Fig. 2(c), the time signals from the T_e probe are converted into position data us-¹⁴⁰ ing the jogging method described above. Typical plasma parameters include $T_i \ll T_e \simeq$ ¹⁴¹ 5-20eV, $n_e \simeq 2 \cdot 10^{18}$ m⁻³, $B_{rec} \simeq 4$ mT, yielding $\beta_e \simeq 0.4$ and $S \simeq 10^4$.

¹⁴² 3 2D and 3D Kinetic Simulations of TREX

TREX was simulated using VPIC, a kinetic particle-in-cell code (K. Bowers et al., 143 2009; Daughton et al., 2018; Bowers, 2020). The TREX boundary conditions were im-144 plemented in the new Cylindrical VPIC code with conducting walls at R = 1.5m and 145 $Z = \pm 1.5$ m, as well as an additional conducting wall at an adjustable minimum (nonzero) 146 R near the central axis. Within the simulation domain, current sources with the same 147 dimensions as the TREX drive coils were added at the drive coil locations. The current 148 density at these locations is increased as a function of time to mimic the ramping cur-149 rent injection utilized in the experiment. Using density data from TREX, initial den-150 sity and magnetic field profiles were set at the simulation start time to balance the mag-151 netic and kinetic pressures for a given applied Helmholtz field. Electron-electron, electron-152 ion, and ion-ion collisions were implemented in some 2D runs with a Monte-Carlo col-153 lision operator for binary Coulomb collisions (Takizuka & Abe, 1977). The collision fre-154 quencies were calculated from TREX data. Not all simulations implemented collisions; 155 results from testing a range of 2D simulation collision parameters at relevant experimen-156 tal levels showed very little difference between runs with and without collisions. The 3D 157 run discussed in this paper did not include collisions. 158

The number of grid-points in the 2D simulation described here was 1512 by 3600 in the R and Z directions, respectively; in 3D, these increased to 1024 and 2048 respectively with another 256 grid divisions in the ϕ direction. The system size in 2D was about 193 by 399 electron skin depths in the R and Z directions, respectively. In 3D, these values were 87 and 186 electron skin depths, respectively. The average number of super-

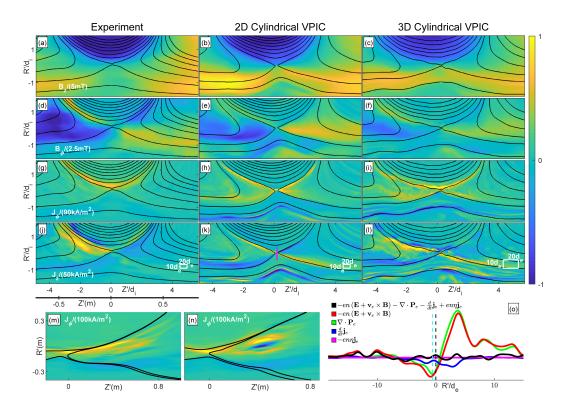


Figure 3. Comparison of TREX experimental data from a scan of the hook probe (green in Fig. 1(b)) with cylindrical VPIC runs in both 2 and 3 dimensions. The 2D run is at full mass ratio, and the 3D run is at a mass ratio of 400. The 3D run plots show data taken from a single value of ϕ . Each row of plots for a different magnetic feature shows contours of the magnetic flux function ψ in black. Each row has been scaled relative to the same magnitude, shown in the leftmost plot of each row. (a-c) compare the in-plane (B_z) ; (d-f) show out-of-plane (B_{ϕ}) magnetic fields. (g-i) show the out-of-plane current density (J_{ϕ}) ; (j-1) show the in-plane (J_z) current structures. Plots (m) and (n) show experimental data from the linear probe (blue in Fig. 1(b)) using the jogging method. The jogging method provides a high spatial resolution of ~ 0.4 cm in the R direction. Plot (m) shows a mostly laminar layer with some bifurcation, whereas plot (n) shows a plasmoid being ejected from the reconnection region. Plot (o) is a standard Ohm's Law analysis of the 2D VPIC simulation, along the path indicated by the magenta line in plot (k). The dashed cyan line is the location of the $B_z = 0$ point along the line; the dashed black line is the location of the maximum out-of-plane current density.

particles per cell was 500 in 2D and 100 in 3D. In both simulations, the ratio of the electron cyclotron frequency to the electron plasma frequency was 1.

Both 2D (RZ) and 3D $(R\phi Z)$ simulations of TREX can be compared to experimental results; one such comparison is shown in Fig. 3. Experimental data in subplots (a,d,g,j) come from combining multiple shots worth of experimental data from the hook probe (green in Fig. 1(b)).

The 2D simulation (b,e,h,k) was obtained at full mass ratio while the 3D simula-170 tion (c,f,i,l) applies $m_i/m_e = 400$. For numerical tractability, the 3D simulation is lim-171 ited to a 60° wedge with periodic boundaries in ϕ . Both the experimental and simula-172 tion profiles in Fig. 3(a-l) are displayed with the domains normalized by the local ion skin 173 depths. Here the local ion skin depth is obtained from the value of n_e in the high-density 174 inflow (e.g., the density value shown in Fig. 2(c) at $R' \sim -0.1$ m). The scaling of sim-175 ulation variables relative to experimental ones was implemented using the technique de-176 scribed in Egedal et al. (2019), where temperature and magnetic field profile matching 177 occurred near the X-line during the reconnection process. Further similarities between 178 TREX and 3D Cylindrical VPIC will be discussed later in this paper. 179

¹⁸⁰ 4 Inferring the Reconnection rate in the TREX geometry

In 3D geometries the rate of reconnection is not always trivial to define (Hesse & 181 Birn, 1993). However, given the nominal 2D experimental setup we can define the re-182 connection rate as the rate at which flux upstream of the reconnection region reconnects 183 and moves downstream. Fig. 4(a) shows the different "categories" of magnetic flux in 184 the TREX cross-section. The red region contains field lines from the Helmholtz coil that 185 go through the drive loop area and are upstream of the reconnection region. The blue 186 lines are also upstream of the reconnection, but these represent the new magnetic flux 187 injected into the system by the drive coils. Reconnection results in the downstream field 188 lines, shown in green. The magenta field lines are those from the external Helmholtz coil 189 that are initially above the internal drive coils and thus do not take part in the recon-190 nection process. We can then define the remaining unreconnected flux, Ψ_B , as the (red) magnetic flux between R = 0 and the reconnection layer $\Psi_B = \int_0^{2\pi} \mathrm{d}\phi \int_0^{R_x(\phi)} RB_z dR$, where the integral is taken at a constant Z that matches the location of the X-line and 191 192 193 from R = 0 to $R = R_x$. Here $R_x(\phi)$ is the radius of the center of the current layer which 194 is moving radially inwards and which in the simulation is observed to be a function of 195 ϕ . This path of this integral is represented by the cyan line in Fig. 4(a). 196

Again, because Ψ_B is the remaining un-reconnected magnetic flux, it is clear that $-d\Psi_B/dt$ is the rate at which magnetic flux is being reconnected, i.e. the reconnection rate. There exists of course ambiguity in how to define the center of the reconnection layer $R_x(\phi)$, but as long as $R_x(\phi)$ correctly characterizes the inward motion of the reconnection layer it turns out that $-d\Psi_B/dt$ is largely unaffected by the differences between any reasonable choice of $R_x(\phi)$. By Faraday's law it is also clear that

$$-\frac{d\Psi_B}{dt} = \oint_{R_x} (\mathbf{E} + \mathbf{v}_{R_x} \times \mathbf{B}) \cdot d\mathbf{l} \simeq \oint_{R_x} \mathbf{E} \cdot d\mathbf{l} \quad . \tag{1}$$

Note that in 3D for a particular choice of $R_x(\phi)$ the value of $B_z(R_x(\phi))$ could be finite and oscillate along $R_x(\phi)$, but it is reasonable to impose that for a valid choice of $R_x(\phi)$, the average value of $B_z(R_x(\phi))$ must be small. Meanwhile \mathbf{v}_{R_x} will be near constant and directed radially inward such that the average value of $(\mathbf{v}_{R_x} \times \mathbf{B}) \cdot d\mathbf{l}$ also becomes small and can be neglected, as expressed in Eq. 1.

In 3D configurations variations are permitted and likely present in **E** along $R_x(\phi)$. However, the local electric field may always be expressed on the form $\mathbf{E} = -\nabla \Phi - \partial \mathbf{A} / \partial t$, and because for any Φ we have $\oint_{R_x} \nabla \Phi \cdot d\mathbf{l} = 0$, it becomes clear that the reconnection electric field defined as

$$E_{\rm rec} \equiv -\frac{1}{2\pi \langle R_x \rangle} \frac{d\Psi_B}{dt} = \frac{1}{2\pi \langle R_x \rangle} \oint_{R_x} -\frac{\partial \mathbf{A}}{\partial t} \cdot d\mathbf{l} \quad , \tag{2}$$

is a measure of the average toroidal inductive electric field, not directly dependent on any electrostatic electric fields $-\nabla \Phi$ which may be present in the reconnection region.

The physics that allows the electron fluid, with bulk velocity \mathbf{v}_{e} , to decouple from the motion of the magnetic field can be analyzed using the momentum equation of the electron fluid (the generalized Ohm's law), which takes the form:

$$\mathbf{E} = -\mathbf{v}_{\mathbf{e}} \times \mathbf{B} + \eta \mathbf{J}_{\mathbf{e}} - \frac{1}{ne} \boldsymbol{\nabla} \cdot \mathbf{P}_{\mathbf{e}} - \frac{m_e}{e} \frac{\mathrm{d} \mathbf{v}_{\mathbf{e}}}{\mathrm{d} t}$$
(3)

Here $\mathbf{P}_{\mathbf{e}}$ is the electron pressure tensor, with elements $p_{ij} = m \int (u_i - v_{e,i}) (u_j - v_{e,j}) f d^3 u$, $\mathbf{v}_{\mathbf{e}}$ is the bulk electron fluid velocity, and d/dt is the total convective derivative, $d/dt = \frac{\partial}{\partial t} + \mathbf{v}_{\mathbf{e}} \cdot \nabla$.

In particular for the pressure tensor, we may split its contributions into its scalar and off-diagonal parts $\mathbf{P}_{\mathbf{e}} = p_e \mathbf{I} + \boldsymbol{\pi}$, where trace($\boldsymbol{\pi}$) = 0. As discussed above, the reconnection rate E_{rec} is proportional to the $R_x(\phi)$ -average of \mathbf{E} , and it becomes clear that while $-\nabla p_e$ contributions can be important to balance local electrostatic components of \mathbf{E} , the total contribution is 0 because $\oint \nabla p_e \cdot d\mathbf{l} = 0$. Thus, the $\nabla \cdot \mathbf{P}_e$ term only contributes to reconnection through the off-diagonal stress in $\boldsymbol{\pi}$.

An analysis of the generalized Ohm's Law for a 2D VPIC simulation of TREX is 226 shown in Fig. 3(o), where the terms of Ohm's Law are evaluated along the path defined 227 by the magenta line in Fig. 3(k). Given the boundary conditions of this simulation, this 228 analysis does not include any form of spatial averaging, resulting in some fluctuation in 229 the net Ohm's law term (the black line in Fig. 3(o)); nonetheless, the pressure tensor di-230 vergence term (green) is clearly the dominant contributor to the reconnection electric 231 field (red), consistent with prior 2D simulations of low-collisionality asymmetric recon-232 nection, including Egedal et al. (2018). 233

5 Ohm's Law Results from 3D Simulations of TREX

The 3D simulation domain can also be seen in Fig. 4(b). Taking a cut through the 235 layer reveals the development of a toroidal instability which is inferred to be the lower 236 hybrid drift instability (LHDI). Because the LHDI is driven by diamagnetic currents, it 237 can be more vigorous within asymmetric reconnection layers which feature strong pres-238 sure gradients in the central portion (Roytershteyn et al., 2012; Le et al., 2017, 2018), 239 and several of the characteristics of the LHDI (Daughton, 2003; Davidson et al., 1977) 240 matched the numerical layer fluctuations. The fastest growing LHDI modes are short 241 wavelength ($\rho_e k_{\perp} \sim 2.9$), primarily electrostatic, and are localized on the edge of the 242 layer; these can be seen in Fig. 4(c). However, the LHDI features a rich spectrum (Daughton, 243 2003), with longer wavelength $\sqrt{\rho_e \rho_i} k_{\perp} \sim 1.4$ electromagnetic modes that penetrate 244 into the center, giving rise to a global rippling of the layer in the toroidal direction; this 245 matches the characteristics of the kinking in the $B_z = 0$ and the current layer, as shown 246 in Fig. 4(b)-(d). 247

Given the definition of the reconnection rate in Eq. 1, we may now use the generalized Ohm's Law in Eq. 3 to examine which term is responsible for making $\oint_C \mathbf{E} \cdot d\mathbf{l}$ finite and thereby breaking the frozen-in condition for the electrons. While the value of $\oint_C \mathbf{E} \cdot d\mathbf{l}$ is largely insensitive to the choice for $R_X(\phi)$, we will show that the magnitude of the terms on the RHS of Eq. 3 greatly depend on this contour of integration; this has important implications for the amplitude of the aforementioned anomalous terms due to correlated spatial fluctuations.

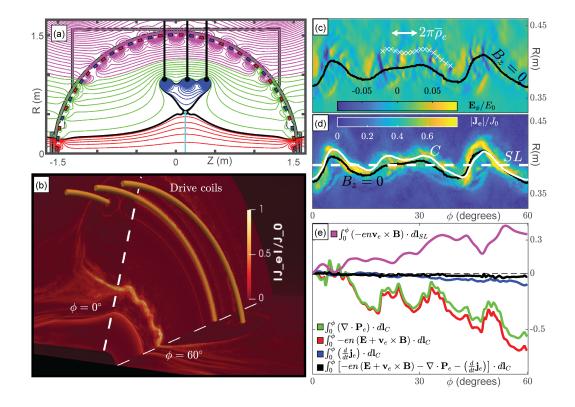


Figure 4. a) Block diagram of TREX's theoretical magnetic geometry, demonstrating the different regions of flux relative to the location of the reconnection layer. Blue and red are upstream of the reconnection region, starting from the drive coils and the Helmholtz coil, respectively. The green lines are downstream of the reconnection region, and the magenta lines are those that originate from the Helmholtz coil but are above the drive coils and thus do not impact the reconnection process. The cyan line represents the path of the integral used to define the flux function Ψ . b) Cuts of the current density, $|\mathbf{J}_e|$, in a 3D kinetic simulation of TREX implemented as a 60° degree wedge with periodic boundaries in ϕ . The drive coils are shown as gold surfaces. (c) Simulation profiles of of \mathbf{E}_{ϕ} mapped onto the $R\phi$ -plane at a single value of Z. The black line represents the $B_z = 0$ line. Fluctuations in \mathbf{E}_{ϕ} have the characteristics of the electrostatic LHDI; notably, they are stronger above the layer where the particle density is lower. The average electron cyclotron radius, $\bar{\rho}_e$, is calculated along the path marked by the small white Xs; this is one of the primary scale lengths use to describe the two modes of the LHDI. (d) Profile of $|\mathbf{J}_e|$ mapped onto the $R\phi$ -plane at a single value of Z; the black line is still the $B_z = 0$ line. Also shown in white are two different paths of integration, a straight line (labeled SL) that simply cuts through the entire ϕ domain and the optimized integration curve C. The results of integrating the different terms of Ohm's Law along path C are shown in (e); the Lorentz term (red) is almost completely matched by the pressure divergence term (green), and the net Ohm's Law term (black) is consistently negligible. Also displayed are the results of integrating solely the $\mathbf{v_e} \times \mathbf{B}$ term over the straight path SL (shown in magenta).

As mentioned, choosing a path over which to integrate is nontrivial; prior publi-255 cations analysing Ohm's Law in similar parameter regimes have returned different re-256 sults based on different choices of how to spatially average the relevant variables (Price 257 et al., 2017; Le et al., 2018). A simple average over a single dimension (usually one anal-258 ogous to what is here defined as our ϕ dimension) may pick up data from outside the 259 diffusion region, leading to dominant terms that do not appear when an average is made 260 over a path that has been adapted to fit the shape of the layer and any constituent in-261 stabilities (Che et al., 2011; Price et al., 2017; Le et al., 2018). 262

Keeping this in mind, we chose to integrate over a layer-specific path while showing the potential consequence of selecting a simple single-variable path. The simple path is shown in Fig. 4(d) as a white dashed straight line labeled SL. The black path represents the contour along which $B_z = 0$; this path was used as a starting point for an iterative process that determined the layer-specific contour C (solid white curve) by minimizing the contributions of the $-env_e \times B$ term to the path integral.

Integrating Eq. 3 along the path C produces the terms in Fig. 4(e). Note that collisionality was set to 0 in this simulation, so the resistivity term of Eq. 3 is also 0. The left side of Eq. 3 (the red line) is almost completely matched at every location by the pressure-tensor-divergence term (green line). The net Ohm's Law term (black) line remains near zero at every location.

Also shown Fig. 4(e) is the $-env_{e} \times B$ term for the SL result; this is given by the 274 magenta line. The kinking in the layer results in SL including locations that are out-275 side the dissipation region, resulting in large contributions of $-en\mathbf{v}_{e} \times \mathbf{B}$ which is the 276 primary term for balancing E_{ϕ} outside the layer. By focusing on an average defined by 277 C, we can minimize the $-en\mathbf{v}_{\mathbf{e}} \times \mathbf{B}$ contributions and thus avoid drawing conclusions 278 about the relevant terms of Ohm's Law in a manner that includes contributions from 279 the non-reconnecting plasma regions. In previous analyses, contributions from the cor-280 related fluctuations of the $-env_{e} \times B$ term are combined with those from the pressure 281 divergence to form the anomalous viscosity (Le et al., 2018; Che et al., 2011). These anoma-282 lous terms, when present, have been proposed as a mechanism that broaden the dissi-283 pation region and thus increase the reconnection rate (Parker, 1957); however, more re-284 cent results from reconnection dominated by kinetic effects show that this can be achieved 285 without these anomalous terms becoming significant (Le et al., 2018). While there has 286 been some disagreement about whether or not these anomalous terms are dominant in 287 3D kinetic reconnection (Che et al., 2011; Price et al., 2017; Le et al., 2018), our con-288 clusion is that the answer will depend on the path used to define the spatial average. We 289 find that the path that stays inside the diffusion region where $-env_e \times B$ is small is the 290 more physical choice. Furthermore, the dominant term breaking the electron frozen-in 291 condition in the diffusion region is observed to be $\nabla \cdot \mathbf{P}_{\mathbf{e}}$ and is in agreement with re-292 cent spacecraft observations (Egedal et al., 2019; R. Nakamura et al., 2019). 293

²⁹⁴ 6 Layer Width Results from Experiment and Simulation

In the above analysis of the kinetic simulation it was shown that the off-diagonal 295 stress of $\nabla \cdot \mathbf{P}_{\mathbf{e}}$ is mainly responsible for breaking the electron frozen-in condition. From 296 theory, this off-diagonal stress scales roughly as $1/\delta_J^2$, where δ_J is the half-width of the 297 reconnection current layer (Hesse et al., 1999). Prior simulation results have established 298 that when the current layer half-width δ_J is on the order of a few electron scale lengths 299 (here taken to be the electron skin depth, $d_e = c/\omega_{pe}$, which at electron β near unity 300 is nearly equivalent to both the electron meandering width and the electron gyroradius), 301 the pressure tensor divergence term will be sufficiently large to become the dominant term 302 in Ohm's Law (Roytershteyn et al., 2013). This characteristic defines the regime of col-303 lisionless reconnection; as such, the measurement of layer widths on the order of the sev-304

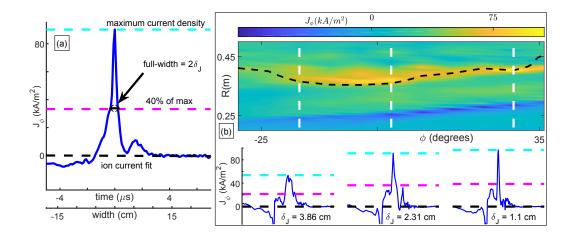


Figure 5. Measuring layer width. (a) method for inferring the current layer half-width, δ_J , defined as the half-width of the layer at 40% of the maximum. (b) shows an $R\phi$ contour plot of the current layer in TREX recorded by a curved **B** array sampling multiple toroidal angles. Three example slices at fixed ϕ are represented by the white dashed lines documenting variations in the layer widths.

eral d_e can be used to establish the regime in which the reconnection is operating (Vasyliunas, 1975; Pritchett, 2001).

To address whether $\nabla \cdot \mathbf{P}_{\mathbf{e}}$ breaks the frozen-in condition in the experiment as it 307 does in the simulation, we compare the widths of the current layers observed in TREX 308 and the simulation. TREX is not yet able to measure the value of $\nabla \mathbf{P}_{\mathbf{e}}$ directly, but 309 as described above, the existence of thin current layers implies that the $\nabla \cdot \mathbf{P}_{\mathbf{e}}$ contri-310 bution to Ohm's Law dominates the breaking of the frozen-in condition. The method 311 of characterizing the layer half-width, δ_J , is illustrated in Fig. 5(a), where the current 312 density is recorded by the linear $\dot{\mathbf{B}}$ probe array (blue in Fig. 1(b)) at a set ϕ location for 313 a range of Z values. In addition, a toroidally curved $\hat{\mathbf{B}}$ probe array is used to charac-314 terize toroidal variations in the TREX current layers. An example dataset is shown in 315 the top half of Fig. 5(b), documenting the intensity of the toroidal current density, with 316 a range of different radial layer widths indicated below. This toroidal variation is sim-317 ilar to instability in the simulation, though the experimental observation is limited by 318 the spatial resolution of the probe. 319

Note that there is also some minor variation in the layer structure in the plane perpendicular to the toroidal direction; this can be seen in the existence of several smaller peaks in the current densities plotted in the bottom of Fig. 5(b). In this plane, the layer occasionally experiences some small amount of bifurcation or other minor irregularities. Examples of such behaviour are shown in Fig. 3(m) and (n). In this analysis, only the primary peaks in the electron current density cuts are taken into consideration.

Repeating the width measurement process for a range of experimental settings we 326 obtain mean values for δ_J and d_e . The results are plotted in Fig. 6, where each data point 327 represents the average result for δ_J and d_e for a given set of drive potential, Helmholtz 328 field, gun number, and ion species. There are approximately ten different experimental 329 shots averaged for each data point, which include estimates for the experimental uncer-330 tainties. Also plotted is the line corresponding to the previously reported experimental 331 results in MRX (Ji et al., 2008). The orange region represents the mean of the numer-332 ical layer widths from the 3D simulation in Fig. 4(b), \pm a standard deviation. Similar 333

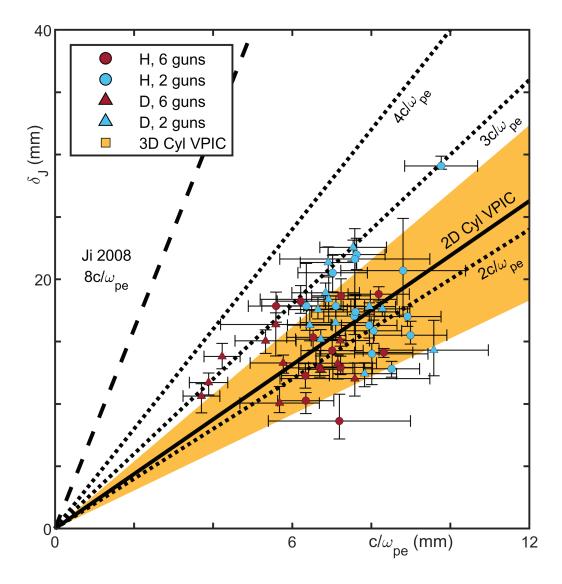


Figure 6. Results of measuring experimental layer width over several different parameter sets. The colors highlight the difference between "2 gun" (lower density) and a "6 gun" (higher density) plasmas. The orange region shows the range of current layer widths measured in the 3D simulation, and the solid black line shows the result from a 2D axisymmetric simulation (without the instability). The spread in the experimental and 3D Cylindrical VPIC layer widths is attributed to the toroidal instability described in Fig. 5.

to the experimental measurement process shown in Fig. 5(b), the numerical width results were obtained from radial cuts at different ϕ values relative to the phase of the instability. Finally, the width recorded in a corresponding 2D simulation in the *RZ*-plane is given by the solid black line.

The width of the current layer is physically limited by the electron meandering scale, 338 which is slightly larger than the electron inertial scale and has only a weak dependence 339 on the precise β_e (Dorfman et al., 2008); in these experiments this parameter is nearly 340 constant ($\beta_e \sim 10^{-1}$). There is a clear divide between the blue (2 guns, lower density) 341 and red (6 guns, higher density) datapoints, as expected from $d_e \propto n_e^{-1/2}$. Addition-342 ally, even though the lower density points have larger skin depths, they also have larger 343 layer widths, keeping them on the same scaling as the higher density datapoints. There 344 is not a particular relationship between ion species and the experimental width scaling. 345 Most notably, there is a general spread in measured layer widths, both relative to dif-346 ferent parameter sets and within a given parameter set itself (demonstrated by the ver-347 tical uncertainties). This is consistent with the presence of the toroidal instability mea-348 sured in TREX and demonstrated in the 3D simulations. Crucially, both the absolute 349 values and spread of the measured current layer widths is in good agreement between 350 the simulation and the experiment. 351

352 7 Conclusions

To summarize, reconnection in TREX is characterized by thin electron current lay-353 ers, consistent with kinetic simulation results. The widths include a notable spread, $\delta_I \sim$ 354 $(1.5-3)d_e$, which can be attributed to the development of a toroidal instability in the 355 current layer. Compared to previous experiments in MRX, the TREX temperature ra-356 tio $T_i/T_e \ll 1$ may be more favorable to this instability (Roytershteyn et al., 2012). Nev-357 ertheless, the TREX current layers are in good agreement with those observed in a 3D 358 kinetic simulation, and are much thinner than those observed previously in the MRX ex-359 periment. 360

The new Cylindrical VPIC code has allowed the TREX reconnection experiments 361 to be modeled in a way that preserves its nominal cylindrical symmetry. Both 2D and 362 3D simulations reproduce the magnetic geometry measured in TREX, and 3D Cylindri-363 cal VPIC also shows the development of a toroidal instability that produces the same 364 spread in the layer width scaling. The narrow current layers observed in TREX and their 365 match to 3D kinetic simulation results validates the numerical result that off-diagonal 366 stress in the electron pressure tensor is responsible for breaking the frozen-in condition 367 for low collisionality configurations relevant to reconnection in the Earth's magnetosphere. 368

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Data availability statement: Data from the 2D simulation is available at Greess (2021a). Data from the 3D VPIC simulation is available at Greess (2021b). Full figure data (both simulation and experimental) is available at Greess (2021c).

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